# The Big Six and Big Seven of Reverse Mathematics 

Sam Sanders (jww Dag Normann)<br>RUB Bochum, Institute for Philosophy II

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Working in Kohlenbach's higher-order RM, we identify two new 'Big' systems.

This is part of my joint project with Dag Normann to investigate the logical and computational properties of the uncountable.
https://arxiv.org/abs/2102.04787

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Most theorems of 'ordinary' mathematics are either provable in $R C A_{0}$ or equivalent to one of the 'Big Five' theories.

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Intuitively, $\mathrm{RCA}_{0}$ can do computable mathematics (with restricted induction).

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Nonetheless, such maxima and infinite paths are not computable.
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Simpson: connection to Hilbert's program for the FOM...

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(3) Ascoli-Arzela theorem: Every bounded equicontinuous sequence of real- valued continuous functions on a bounded interval has a uniformly convergent subsequence.
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Similar equivalences for ATR $_{0}$ and $\Pi_{1}^{1}-\mathrm{CA}_{0}$, though some set theory comes to the fore already.

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Peano exist. $\leftrightarrow$ Weierstraß approx. $\leftrightarrow$ Weierstraß max. $\leftrightarrow$ HahnBanach $\leftrightarrow$ Heine-Borel $\leftrightarrow$ Brouwer fixp. $\leftrightarrow$ Gödel compl. $\leftrightarrow \ldots$ $\mathrm{RCA}_{0}$ proves Interm. value thm, Soundness thm, Existence of alg. clos.

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(Not Absolute: exceptions are in Dzhafarov's RM zoo)

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Distinction between logical formula with mathematical meaning and 'purely logical' formula, i.e. between subject (math) and formalization (logic).

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RCA $A_{0}$ proves Interm. value thm, Soundness thm, Existence of alg. clos. Our best, most fine-grained foundation of ordinary math?

## Representations

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This situation has prompted [Bishop/Bridges] to build a modulus of uniform continuity into their definitions of continuous function. Such a procedure may be appropriate for Bishop since his goal is to replace ordinary mathematical theorems by their "constructive" counterparts. However, as explained in chapter I, our goal is quite different. Namely, we seek to draw out the set existence assumptions which are implicit in the ordinary mathematical theorems as they stand. (S. Simpson, SOSOA)

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Prime Directive: if one wants to classify theorems as they stand, coding should not change the logical strength of these theorems.

## The Good: coding continuous functions

$\varepsilon-\delta$-continuity for $f:[0,1] \rightarrow \mathbb{R}$ is defined as follows:

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(\forall \varepsilon>0, x \in[0,1])(\exists \delta>0)(\forall y \in[0,1])(|x-y|<\delta \rightarrow|f(x)-f(y)|<\varepsilon) .
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85> Definition II.6.1 (continuous functions). Within RCA ${ }_{0}$, let $\widehat{A}$ and $\widehat{B}$ be complete separable metric spaces. A (code for a) continuous partial function $\phi$ from $\widehat{A}$ to $\widehat{B}$ is a set of quintuples $\Phi \subseteq \mathbb{N} \times A \times \mathbb{Q}^{+} \times B \times \mathbb{Q}^{+}$ which is required to have certain properties. We write $(a, r) \Phi(b, s)$ as an abbreviation for $\exists n((n, a, r, b, s) \in \Phi)$. The properties which we require are:
> 1. if $(a, r) \Phi(b, s)$ and $(a, r) \Phi\left(b^{\prime}, s^{\prime}\right)$, then $d\left(b, b^{\prime}\right) \leq s+s^{\prime}$;
> 2. if $(a, r) \Phi(b, s)$ and $\left(a^{\prime}, r^{\prime}\right)<(a, r)$, then $\left(a^{\prime}, r^{\prime}\right) \Phi(b, s)$;
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These two definitions are equivalent in a weak higher-order system based on WKL (Kohlenbach/Kleene).

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These two definitions are equivalent in a weak higher-order system based on WKL (Kohlenbach/Kleene).
Hence, coding does not change the logical strength of theorems about continuous functions (assuming WKL is available).

## The Bad: coding Riemann integrable functions

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## Theorem (Arzela, 1885)

Let $f_{n}:([0,1] \times \mathbb{N}) \rightarrow \mathbb{R}$ be a sequence such that
(1) Each $f_{n}$ is Riemann integrable on $[0,1]$.
(2) There is $M>0$ such that $(\forall n \in \mathbb{N}, x \in[0,1])\left(\left|f_{n}(x)\right| \leq M\right)$.
(3) $\lim _{n \rightarrow \infty} f_{n}=f$ exists and is Riemann integrable.

Then $\lim _{n \rightarrow \infty} \int_{0}^{1} f_{n}(x) d x=\int_{0}^{1} f(x) d x$.

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Massive change of logical strength for a basic theorem about functions that are continuous almost everywhere.

The coding catastrophe

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Similar for other countable objects: they are given by sequences in RM although the original is formulated using sets that are countable (Cantor, König, Ramsey, etc).

The coding catastrophe

## Solution

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The language of all finite types $L_{\omega}$ has variables for:

$$
n \in \mathbb{N}, X \subset \mathbb{N}, F: \mathbb{R} \rightarrow \mathbb{R}, \Theta:(\mathbb{R} \rightarrow \mathbb{R}) \rightarrow \mathbb{R}, \ldots
$$

The base theory $R C A_{0}^{\omega}$ proves the same $L_{2}$ sentences as $R C A_{0}$.

The coding catastrophe

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Each of the 'Big Five' has a higher-order counterpart; we concentrate on the weakest.

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& \ldots \rightarrow \mathrm{ACA}_{0} \rightarrow \mathrm{WKL}_{0} \rightarrow \mathrm{RCA}_{0}  \tag{1}\\
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ECF replaces third-order and higher objects by RM-codes (CMTT).

The coding catastrophe

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The coding catastrophe

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The following picture emerges:

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Hyper: ACA $_{0}^{\omega}+$ cocode $_{1}$ lives as the level of hyperarithmetical analysis. Associated second-order systems are 'rather logical'

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## Principle (cocode 0 )

A countable set in $[0,1]$ can be enumerated.

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We observe a certain robustness!

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Item (d) is called the countable chain condition, first formulated by Cantor.

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Item (e) is formulated with bijections ONLY.

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$\mathrm{CBN}^{+} \leftrightarrow$ cocode $_{0}$, where the former expresses that $h$ is locally either $f$ or the inverse of $g$.

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For countable $A \subset \mathbb{R}^{2}$ with $(\forall x \in I)(\exists(a, b) \in A)(x \in(a, b))$, there is $\left(a_{0}, b_{0}\right), \ldots\left(a_{k}, b_{k}\right) \in A$ with $(\forall x \in I)(\exists i \leq k)\left(x \in\left(a_{i}, b_{i}\right)\right)$.

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Same for many sequential versions, like e.g. sequential ADS, RT22, KL. ...

## Separation

The separation axiom as follows

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Allowing third-order parameters, there are versions equivalent to HBT and cocode ${ }^{\text {for }} i=0,1$.

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Let $\left(A_{n}\right)_{n \in \mathbb{N}}$ be a sequence of sets in $\mathbb{R}$ such that for all $n \in \mathbb{N}$, there is an enumeration of $A_{n}$. Then there is an enumeration of $\cup_{n \in \mathbb{N}} A_{n}$.

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Related results for $\mathbb{R}$ is not the union of countable sets.

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For this concept to make sense, one needs item (c) (and much more)....


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The system $\mathrm{ACA}_{0}^{\omega}+$ cocode $_{1}$ is in the range of hyperarithmetical analysis, and more natural than the known systems.

## Conclusion: the Big Six and Big Seven

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The following picture was obtained:

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Many equivalences exist and many many more lie in wait.

## The future: beyond Kleene and Turing

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Turing framework/SOSOA is the dominant framework right now, for better or for worse.

But we can almost have the best of both worlds!

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For any formula $A$, we have

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NFP is a classically equivalent alternative to comprehension from Brouwer's INT. But the ' $\gamma \in K_{0}$ ' in NFP can be fed to TMs!

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Thank you for your attention!
Any (content) questions?


[^0]:    Definition II.6.1 (continuous functions). Within $\mathrm{RCA}_{0}$, let $\widehat{A}$ and $\widehat{B}$ be complete separable metric spaces. A (code for a) continuous partial function $\phi$ from $\widehat{A}$ to $\widehat{B}$ is a set of quintuples $\Phi \subseteq \mathbb{N} \times A \times \mathbb{Q}^{+} \times B \times \mathbb{Q}^{+}$ which is required to have certain properties. We write $(a, r) \Phi(b, s)$ as an abbreviation for $\exists n((n, a, r, b, s) \in \Phi)$. The properties which we require are:

    1. if $(a, r) \Phi(b, s)$ and $(a, r) \Phi\left(b^{\prime}, s^{\prime}\right)$, then $d\left(b, b^{\prime}\right) \leq s+s^{\prime}$;
    2. if $(a, r) \Phi(b, s)$ and $\left(a^{\prime}, r^{\prime}\right)<(a, r)$, then $\left(a^{\prime}, r^{\prime}\right) \Phi(b, s)$;
    3. if $(a, r) \Phi(b, s)$ and $(b, s)<\left(b^{\prime}, s^{\prime}\right)$, then $(a, r) \Phi\left(b^{\prime}, s^{\prime}\right)$;
    where the notation $\left(a^{\prime}, r^{\prime}\right)<(a, r)$ means that $d\left(a, a^{\prime}\right)+r^{\prime}<r$.
