First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy

Ordinal analysis based on iterated reflection

First order and beyond

Joost J. Joosten

University of Barcelona

Thursday 03-11-2016 Lisbon Seminar on Mathematical Logic

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Turing progressions The logics ${\sf GLP}_\Lambda$ Proof theoretical ordinals

• Let T be some r.e. sound theory

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First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy Pro

Turing progressions The logics GLP_A Proof theoretical ordinals

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- How can weak theories still prove interesting statements about Turing progressions?
- Schmerl (1978): reflexive transfinite induction

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First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy $\begin{array}{l} \textbf{Turing progressions} \\ \textbf{The logics } \text{GLP}_{\Lambda} \\ \textbf{Proof theoretical ordinals} \end{array}$

► Transfinite induction: $\forall \alpha \ (\forall \beta < \alpha \ \phi(\beta) \rightarrow \phi(\alpha)) \rightarrow \forall \alpha \ \phi(\alpha);$

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However:

▶ **Proposition:** $T + \langle 1 \rangle_T \top$ is a Π_1 conservative extension of $T + \{ \langle 0 \rangle_T^k \top \mid k \in \omega \}.$
Turing progressions The logics ${\sf GLP}_\Lambda$ Proof theoretical ordinals

Definition

The logic GLP_{Λ} is the propositional normal modal logic that has for each $\xi < \Lambda$ a modality [ξ] and is axiomatized by the following schemata:

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The rules of inference are Modus Ponens and necessitation for each modality: $\frac{\psi}{|\zeta|\psi}$.

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► Theorem

 $\mathrm{EA} + \langle n+1 \rangle_{\mathrm{EA}} \top \equiv \mathrm{EA} + \mathtt{RFN}_{\Sigma_{n+1}}(\mathrm{EA}) \equiv \mathrm{I}\Sigma_n.$

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• We can define natural orderings $<_{\xi}$ on \mathbb{W} by

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- Proposition (Beklemishev) For each ordinal α < ε₀ there is some GLP_ω-worm A such that o(A) = α, and T + A is Π₁ equivalent to T_α.

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- Moreover, $o_{\xi}(A) = o(\xi \downarrow h_{\xi}(A))$
- Here h_ξ(A) is the "ξ-head of A", that is the leftmost part of A where all modalities are at least ξ

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Turing progressions The logics GLP_A Proof theoretical ordinals

Our calculus for o(A)

Joost J. Joosten Ordinal analysis based on iterated reflection

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Turing progressions The logics GLP_A Proof theoretical ordinals

• Our calculus for o(A)

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 $\begin{array}{l} \mbox{Turing progressions} \\ \mbox{The logics } \mbox{GLP}_{\Lambda} \\ \mbox{Proof theoretical ordinals} \end{array}$

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$$o(\top) = 0;$$

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Turing progressions The logics GLP_{Λ} Proof theoretical ordinals

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•
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First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy

Turing progressions The logics ${\sf GLP}_\Lambda$ Proof theoretical ordinals

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First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy Turing progressions The logics ${\sf GLP}_{\Lambda}$ Proof theoretical ordinals

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- ► Then define the hyperexponential to be the unique weak hyperexponential {f^α}_{α∈On} which is point-wise minimal

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• A recursive definition for hyperexponentials:

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A new perspective on binary Veblen functions;

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► A recursive definition for hyperexponentials:

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$$e^{0}(\xi) = \xi$$
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 The Veblen functions are a natural subsequence of hyperexponentiation

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First order arithmetical theories Beyond first order Hyper-arithmetical hierarchy Turing progressions The logics GLP_A Proof theoretical ordinals

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This model is universal for GLP⁰_w

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In case U ≡ tt(U) we say that U has a convergent Turing-Taylor expansion.

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Turing progressions The logics GLP_{Λ} Proof theoretical ordinals

We write W_ξ for the class of all worms all of whose modalities are at least ξ

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For each worm $A \in \mathbb{W}_n$: $T + A \equiv_n T_n^{o(A)}$;

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- First order arithmetical theories
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Ignatiev's model can be interpreted as representing 'natural' theories!

• The universal model of GLP^0_ω : Ignatiev's model \mathcal{I}

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- The universal model of GLP^0_{ω} : Ignatiev's model \mathcal{I}
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- This yields a roadmap to conservation results!

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- ► Logics GLP_A studied (Bekl. 2005; Bekl. DFD, JjJ 2014 SL)

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- ► Logics GLP_A studied (Bekl. 2005; Bekl. DFD, JjJ 2014 SL)
- ▶ Ignatiev's model \mathcal{I} generalized (DFD, JjJ, 2013 JSL)

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The modal logics Arithmetical interpretations

Beklemishev's autonomous worm notation

1	()	2	()()
ω	(())	$\omega + \omega$	(())()(())
ε ₀	((()))	ω^{ε_0+1}	(())((()))

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The modal logics Arithmetical interpretations

Fernandez-Duque's Spiders

$$\begin{split} \omega & \begin{pmatrix} () \\ \end{pmatrix} & \varphi_{\omega_{1}^{CK}}(1) & \begin{pmatrix} () \\ () \end{pmatrix} \\ \omega_{1}^{CK} & \begin{pmatrix} \\ () \end{pmatrix} & \omega_{3}^{CK} + \omega_{1}^{CK} & \begin{pmatrix} \\ () \end{pmatrix} () \begin{pmatrix} \\ () \end{pmatrix} () \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ () \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ () \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ () \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ () \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ () \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix} \end{pmatrix} \\ \psi_{\omega_{1}^{CK}}(\omega_{\omega_{1}^{CK}}^{CK}) & \begin{pmatrix} \begin{pmatrix} \\ \\ \\ \end{pmatrix} \end{pmatrix} \end{pmatrix}$$

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The modal logics Arithmetical interpretations

Omega Rule interpretation

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The modal logics Arithmetical interpretations

Omega Rule interpretation

 $\blacktriangleright \ [0]_T \phi \Leftrightarrow \Box_T \phi$

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The modal logics Arithmetical interpretations

- Omega Rule interpretation
- $\blacktriangleright \ [0]_T \phi \Leftrightarrow \Box_T \phi$
- ► if $\xi < \lambda$,

$$\frac{[\xi]_{\tau}\psi(\bar{0}) \quad [\xi]_{\tau}\psi(\bar{1}) \quad [\xi]_{\tau}\psi(\bar{2})\dots}{\forall n\psi(n)} \qquad \Box_{\tau}(\forall n\psi(n) \to \phi)$$
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 Theorem (DFD, JjJ) For recursive Λ we have GLP_Λ sound and complete for the omega rule interpretation for a large class of theories

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The modal logics Arithmetical interpretations

Omega Rule interpretation with oracles

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The modal logics Arithmetical interpretations

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- ► ECA₀ \vdash $n \in X \rightarrow [0|X]_T (\bar{n} \in \bar{X})$ ECA₀ \vdash $n \notin X \Rightarrow [0|X]_T (\bar{n} \notin \bar{X})$

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The modal logics Arithmetical interpretations

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$$\begin{array}{l} \bullet \quad \operatorname{ACA}_{0} \vdash \operatorname{wo}(\Lambda) \rightarrow \Big(\forall \, \lambda \in |\Lambda| \, \left(\lambda > 0 \rightarrow \\ [\lambda|\Lambda, X]^{\Lambda}_{\operatorname{ECA}_{0}} \operatorname{TI}_{\omega \cdot \dot{\lambda}}^{\overline{\Lambda}}(\phi(\overline{X})) \right) \Big). \end{array}$$

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Towards a Π_1^0 analysis of predicativity

Predicative oracle consistency:

$$\texttt{Pred-O-Con}(\mathcal{T}) = \forall \Lambda \forall X(\texttt{wo}(\Lambda) \rightarrow \langle \Lambda | X \rangle_{\mathcal{T}} \top)$$

Theorem (Cordón-Franco, DFD, JjJ, Lara-Martín)

$$ATR_0 \equiv ECA_0 + Pred-O-Con(ECA_0)$$

- ► Recall: $PA \equiv EA + \{n-Con(EA) \mid n < \omega\}.$
- $ATR_0 \equiv ECA_0 + "\{\alpha Oracle Con(ECA_0) \mid \alpha \text{ a well-order}\}"$.

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The modal logics Arithmetical interpretations

Conjectures:

$$\begin{split} & \wedge \operatorname{ATR}_{0} \equiv_{\Pi_{1}^{0}} \operatorname{EA} + \{ \langle \gamma \rangle_{\operatorname{EA}} \top : \gamma < \Gamma_{0} \} \\ & \bullet \|\operatorname{ATR}_{0}\|_{\Pi_{1}^{0}}^{\operatorname{ECA}_{0}} = \Gamma_{0} \end{split}$$

 $[\infty]_T \phi$ holds if ϕ is provable using an *arbitrary* number of ω -rules.

Theorem (DFD):

$$\Pi^1_1\text{-}\mathrm{CA} = \mathrm{ECA}_0 + \forall X \ \langle \infty | X \rangle_{\mathrm{ECA}_0} \top$$

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► A central ingredient for PA: syntactical complexity classes

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- Like in the truth interpretation of GLP

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- However, does not tie up with the Turing jump hierarchy
- Friedman, Goldfarb and Harrington come to the rescue!

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Theorem

Let T be any computably enumerable theory extending EA and let $n < \omega$. For each $\sigma \in \Sigma_{n+1}^{0}$ we have that there is some $\rho_n \in \Sigma_{n+1}^{0}$ so that

$$\mathrm{EA} \vdash \langle n \rangle_T^{\mathsf{True}} \top \to (\sigma \leftrightarrow [n]_T^{\mathsf{True}} \rho_n).$$

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proof The proof runs analogue to the proof of the classical FGH theorem adding an additional ingredient to get things down to EA.

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▶ The [n] predicates tie up with the arithmetical hierarchy:

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Lemma

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▶ The [*n*] predicates tie up with the arithmetical hierarchy:

Lemma

Let T be any c.e. theory and let $A \subseteq \mathbb{N}$. The following are equivalent

1. *A* is c.e. in $\emptyset^{(n)}$;

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Lemma

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fixing a well-behaved ordinal notation we formalize

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 $\blacktriangleright \ [\zeta]\phi \quad :\Leftrightarrow \quad \Box\phi \ \lor \ \exists\psi \ \exists\xi < \zeta \ (\langle\xi\rangle\psi \ \land \ \Box(\langle\xi\rangle\psi \to \phi)).$

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► Theorem

The logic GLP_{Λ} is sound for strong enough theories T under the interpretation $\Box \mapsto [\lambda]_{T}^{\Box,\Lambda}$.

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Definition

Let T be a c.e. theory. We define

$$\begin{array}{l} - \ \Delta_0^{\square} := \ \Sigma_0^{\square} := \ \Pi_0^{\square} := \ \Delta_0^0; \\ - \ \Sigma_{\alpha+1}^{\square} = \ \Sigma_{\alpha}^{\square} \cup \ \Pi_{\alpha}^{\square} \cup \{ [\alpha]_T^{\square} \varphi(\dot{x}) \mid \varphi(x) \in \operatorname{Form} \} \text{ for } \alpha > 0; \\ - \ \Pi_{\alpha+1}^{\square} = \ \Sigma_{\alpha}^{\square} \cup \ \Pi_{\alpha}^{\square} \cup \{ \langle \alpha \rangle_T^{\square} \varphi(\dot{x}) \mid \varphi(x) \in \operatorname{Form} \} \text{ for } \alpha > 0; \\ - \ \Sigma_{\lambda}^{\square} := \ \Pi_{\lambda}^{\square} := \ \bigcup_{\alpha < \lambda} \Sigma_{\alpha}^{\square} \text{ for } \lambda \in \operatorname{Lim}. \end{array}$$

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- ► No longer runs out of phase

The Turing-jump interpretation of transfinite provability logics Hyper-arithmetical reflection

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Theorem

Let T be a c.e. theory containing ECA₀.

1. $\operatorname{ECA}_0 \vdash \operatorname{RFN}^{\Lambda}_{\mathcal{T}}(\Pi_{\alpha+1}^{\Box}) \equiv \langle \alpha \rangle_{\mathcal{T}}^{\Box} \top;$

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- 1. $\operatorname{ECA}_0 \vdash \operatorname{RFN}_T^{\Lambda}(\Pi_{\alpha+1}^{\Box}) \equiv \langle \alpha \rangle_T^{\Box} \top;$
- 2. For $\beta \leq \alpha$, we have $\text{ECA}_0 \vdash \beta \text{RFN}_T^{\Lambda}(\Pi_{\alpha+1}^{\square}) \equiv \langle \alpha \rangle_T^{\square} \top$;

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- 4. So, in general, we have that $\operatorname{ECA}_0 \vdash \beta - \operatorname{RFN}_T^{\Lambda}(\Pi_{\alpha+1}^{\square}) \equiv \langle \max\{\alpha, \beta\} \rangle_T^{\square} \top.$

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- 1. **Theorem/conjecture:** The theory $\text{EA} + \{\langle \xi \rangle \top \mid \xi < \zeta\}$ has Π_1^0 ordinal sup $\{e^{\xi}1 \mid \xi < \zeta\}$.
- 2. **Main question**: how do these theories relate to better known theories like fragments of second order arithmetic of weak set-theories.