

# Expressibility in the Lambda Calculus with $\mu$

Clemens Grabmayer and Jan Rochel

Dept. of Philosophy

Dept. of Information & Computing Sciences

Utrecht University

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# Motivation

motivation:

- ▶  $\lambda_{\text{letrec}}$  as an abstraction & the core of functional languages
- ▶ infinite  $\lambda$ -terms  $\sim$  unfolding semantics of functional programs
- ▶ optimizing program transformations on  $\lambda_{\text{letrec}}$

question:

- ▶ which infinite  $\lambda$ -terms are  $\lambda_{\text{letrec}}$ -expressible, i.e. can be obtained from  $\lambda_{\text{letrec}}$ -terms by infinite unfolding?

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question:

- ▶ which infinite  $\lambda$ -terms are  $\lambda_{\mu}$ -expressible, i.e. can be obtained from  $\lambda_{\mu}$ -terms by infinite unfolding?

we restrict to:

- ▶  $\lambda_{\mu}$  instead of  $\lambda_{\text{letrec}}$

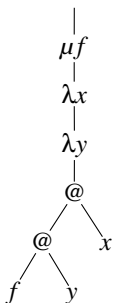
we develop:

- ▶ 2 rewriting characterizations of  $\lambda_{\mu}$ -expressible infinite  $\lambda$ -terms

Which infinite  $\lambda$ -terms are **expressible** finitely in  $\lambda_{\mu}$ ?

### Example

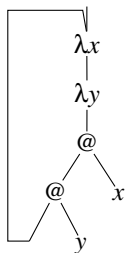
$\mu f. \lambda x. \lambda y. f y x$



Which infinite  $\lambda$ -terms are **expressible** finitely in  $\lambda_{\mu}$ ?

### Example

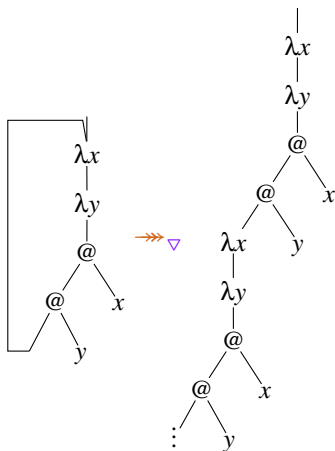
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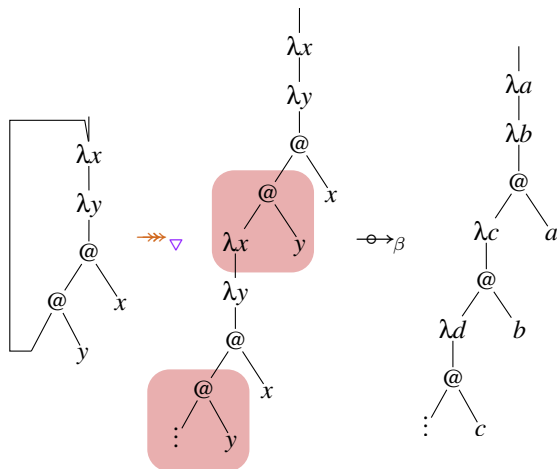
$\mu f. \lambda x. \lambda y. f y x \quad \rightsquigarrow_\mu \quad \lambda xy. (\lambda xy. (\lambda xy. (\dots) y x) y x) y x$



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### Example

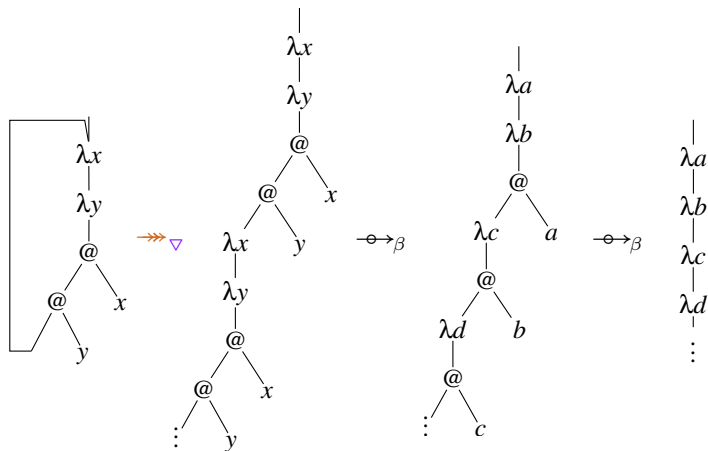
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$\mu f. \lambda x. \lambda y. f y x \quad \rightsquigarrow_\mu \quad \lambda xy. (\lambda xy. (\lambda xy. (\dots) y x) y x) y x$

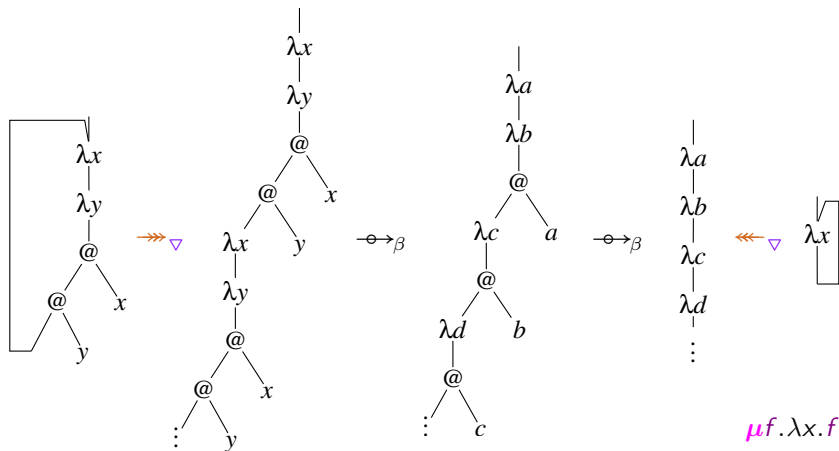




# Which infinite $\lambda$ -terms are **expressible** finitely in $\lambda_{\text{letrec}}$ ?

## Example

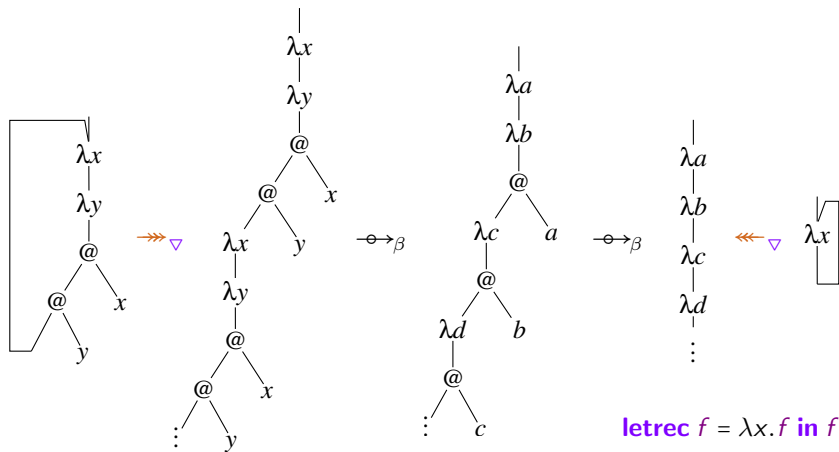
$\mu f. \lambda x. \lambda y. f y x \quad \rightsquigarrow_{\mu} \quad \lambda xy. (\lambda xy. (\lambda xy. (\dots) y x) y x) y x$



# Which infinite $\lambda$ -terms are **expressible** finitely in $\lambda_{\text{letrec}}$ ?

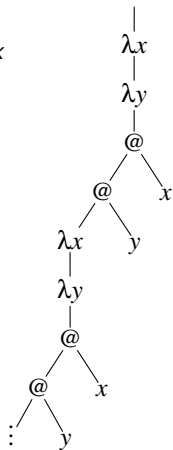
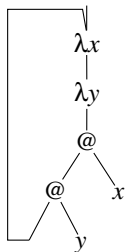
## Example

**letrec**  $f = \lambda xy. f y x$  **in**  $f \quad \xrightarrow{\text{letrec}} \quad \lambda xy. (\lambda xy. (\lambda xy. (\dots) y x) y x) y x$



# $\lambda_\mu$ -expressible 'regular' $\lambda^\infty$ -term

$\mu f. \lambda xy. f y x$

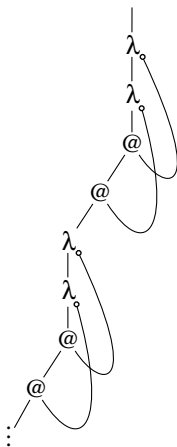
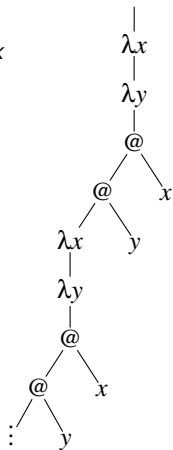
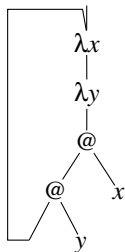


term graph

syntax tree

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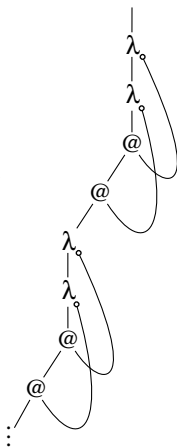
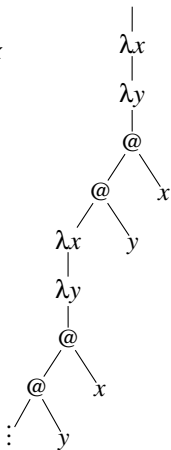
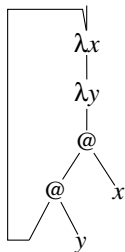
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bindings

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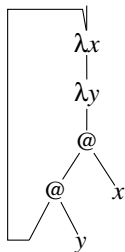
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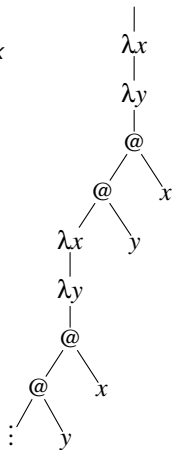
bindings  
finite  
entanglement

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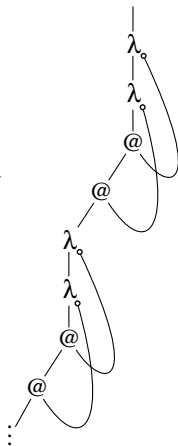
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term graph

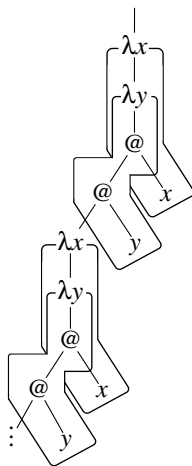


syntax tree



bindings

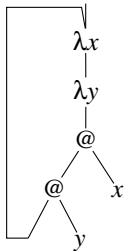
finite  
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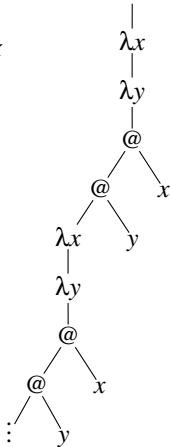
scopes

# $\lambda_\mu$ -expressible 'regular' $\lambda^\infty$ -term

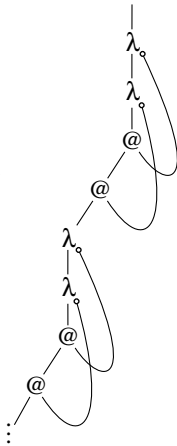
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term graph

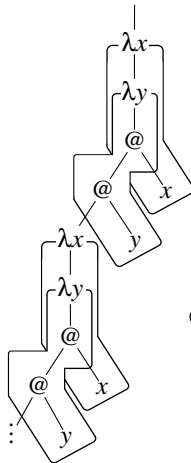


syntax tree

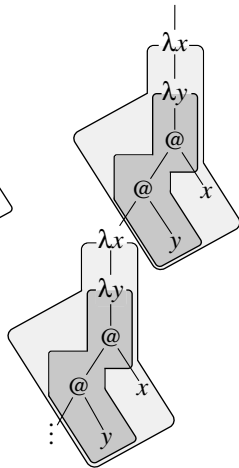


bindings

finite  
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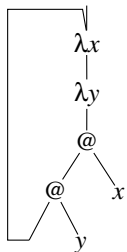
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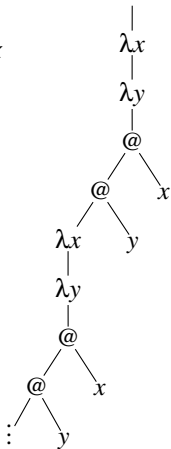
scope<sup>+</sup>s

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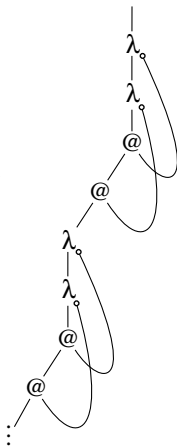
$\mu f. \lambda xy. f y x$



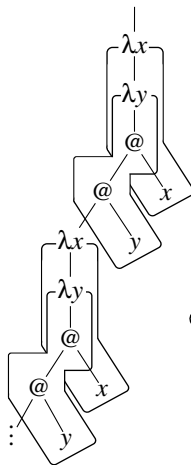
term graph



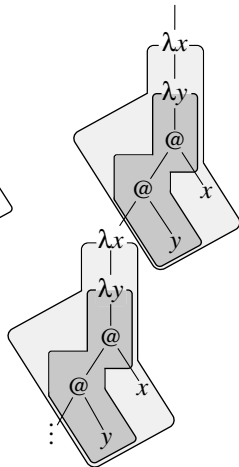
syntax tree



bindings  
finite  
entanglement



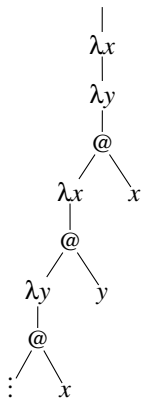
scopes



scope<sup>+</sup>s  
finite  
nesting

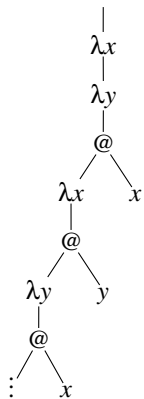


# Not $\lambda_\mu$ -expressible 'regular' $\lambda^\infty$ -term

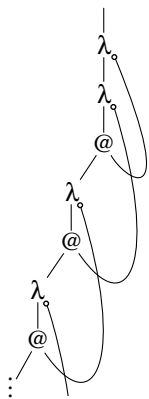


syntax tree

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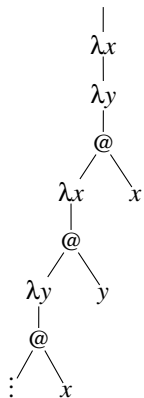


syntax tree

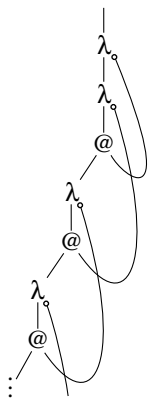


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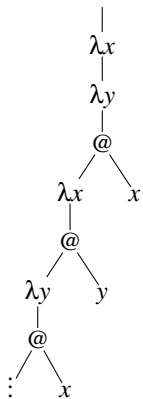


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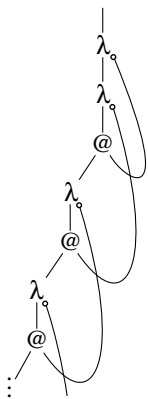


bindings  
infinitely entangled

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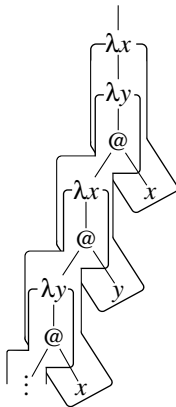


syntax tree

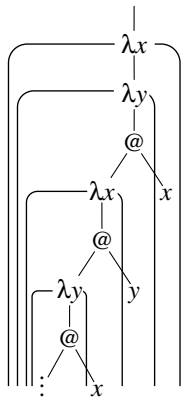


bindings

infinitely entangled

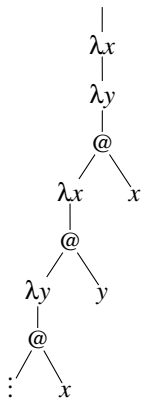


scopes

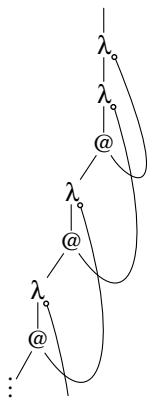


scope+s

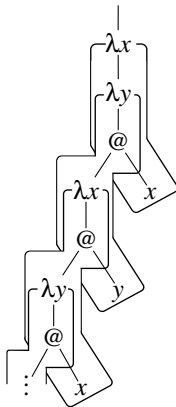
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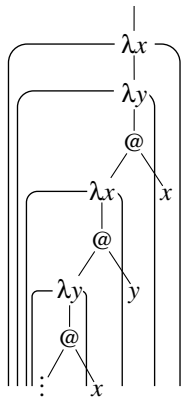
syntax tree



bindings  
infinitely entangled



scopes



scope<sup>+</sup>s  
infinite nesting

# Concepts and results

We introduce:

- ▶ generalizations to  $\lambda^\infty$ -terms of first-order concept of regularity
  - ▶ strong regularity
  - ▶ regularity
- ▶ proof systems for regularity and strong regularity

use:

- ▶ binding–capturing chains for  $\lambda^\infty$ -terms [[Melliés, van Oostrom](#)]

# Concepts and results

We introduce:

- ▶ generalizations to  $\lambda^\infty$ -terms of first-order concept of regularity
  - ▶ strong regularity
  - ▶ regularity
- ▶ proof systems for regularity and strong regularity

use:

- ▶ binding-capturing chains for  $\lambda^\infty$ -terms [Melliés, van Oostrom]

and show:

## Results

- ▶  $\lambda_\mu$ -expressibility = strong regularity
- ▶ strong regularity =  
regularity + a binding-capturing chains property

# Deconstructing/observing $\lambda^\infty$ -terms

$()\lambda x.\lambda y.x x y$



## Deconstructing/observing $\lambda^\infty$ -terms

$$\begin{aligned} & (\lambda x. \lambda y. x x y) \rightarrow_\lambda \\ & (\lambda x) \lambda y. x x y \end{aligned}$$

$$(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0$$

## Deconstructing/observing $\lambda^\infty$ -terms

$()\lambda x.\lambda y.xxy \rightarrow_\lambda$

$(\lambda x)\lambda y.xxy \rightarrow_\lambda$

$(\lambda xy)xy$

$(\lambda x_1 \dots x_n)\lambda x_{n+1}.T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1})T_0$

# Deconstructing/observing $\lambda^\infty$ -terms

$()\lambda x.\lambda y.xxy \rightarrow_\lambda$

$(\lambda x)\lambda y.xxy \rightarrow_\lambda$

$(\lambda xy)xy \rightarrow_{\mathcal{O}_0}$

$(\lambda xy)xx$

$$\begin{aligned}(\lambda x_1 \dots x_n) T_0 T_1 &\rightarrow_{\mathcal{O}_i} (\lambda x_1 \dots x_n) T_i & (i \in \{0, 1\}) \\(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 &\rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0\end{aligned}$$

# Deconstructing/observing $\lambda^\infty$ -terms

$()\lambda x.\lambda y.x x y \rightarrow_\lambda$

$(\lambda x)\lambda y.x x y \rightarrow_\lambda$

$(\lambda xy)x x y \rightarrow_{@_0}$

$(\lambda xy)x x \rightarrow_S$

$(\lambda x)x x$

$(\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\})$

$(\lambda x_1 \dots x_n)\lambda x_{n+1}. T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0$

$(\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})$

# Deconstructing/observing $\lambda^\infty$ -terms

$() \lambda x. \lambda y. x x y \rightarrow_\lambda$

$(\lambda x) \lambda y. x x y \rightarrow_\lambda$

$(\lambda x y) x x y \rightarrow_{@_0}$

$(\lambda x y) x x \rightarrow_S$

$(\lambda x) x x \rightarrow_{@_0}$

$(\lambda x) x$

$(\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\})$

$(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0$

$(\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})$

# Deconstructing/observing $\lambda^\infty$ -terms

$$() \lambda x. \lambda y. x x y \rightarrow_\lambda$$

$$(\lambda x) \lambda y. x x y \rightarrow_\lambda$$

$$(\lambda x y) x x y \rightarrow_{@_0}$$

$$(\lambda x y) x x \rightarrow_S$$

$$(\lambda x) x x \rightarrow_{@_0}$$

$$(\lambda x) x$$

$\rightarrow_{\text{reg}^+}$ -generated subterms of  $\lambda x. \lambda y. x x y$  w.r.t. rewrite relation  $\rightarrow_{\text{reg}^+}$ :

$$(\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\})$$

$$(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0$$

$$(\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})$$

# Deconstructing/observing $\lambda^\infty$ -terms

$$\begin{aligned} & () \lambda x. \lambda y. x x y \rightarrow_\lambda \\ & (\lambda x) \lambda y. x x y \rightarrow_\lambda \\ & (\lambda x y) x x y \rightarrow_{\text{@}_0} \\ & (\lambda x y) x x \rightarrow_S \\ & (\lambda x) x x \rightarrow_{\text{@}_0} \\ & (\lambda x) x \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms of  $\lambda x. \lambda y. x x y$  w.r.t. rewrite relation  $\rightarrow_{\text{reg}^+}$ :

$$\begin{aligned} & (\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{\text{@}_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\}) \\ & (\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0 \\ & (\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous}) \end{aligned}$$

formalized as a CRS, e.g. rule:

$$\text{pre}_n([x_1 \dots x_n] \text{abs}([x_{n+1}] Z(\bar{x}))) \rightarrow \text{pre}_{n+1}([x_1 \dots x_{n+1}] Z(\bar{x}))$$

# Deconstructing/observing $\lambda^\infty$ -terms

$() \lambda x. \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x) \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x y) x x y \rightarrow_{@_1}$   
 $(\lambda x y) y$

$() \lambda x. \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x) \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_0}$   
 $(\lambda x) x$

$() \lambda x. \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x) \lambda y. x x y \rightarrow_\lambda$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_1}$   
 $(\lambda x) x$

$\rightarrow_{\text{reg}^+}$ -generated subterms of  $\lambda x. \lambda y. x x y$  w.r.t. rewrite relation  $\rightarrow_{\text{reg}^+}$ :

$$\begin{aligned}(\lambda x_1 \dots x_n) T_0 T_1 &\rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\}) \\(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 &\rightarrow_\lambda (\lambda x_1 \dots x_{n+1}) T_0 \\(\lambda x_1 \dots x_n x_{n+1}) T_0 &\rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})\end{aligned}$$

formalized as a CRS, e.g. rule:

$$\text{pre}_n([x_1 \dots x_n] \text{abs}([x_{n+1}] Z(\vec{x}))) \rightarrow \text{pre}_{n+1}([x_1 \dots x_{n+1}] Z(\vec{x}))$$



# Generated subterms

$() \lambda x. \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_1}$   
 $(\lambda x y) y$

$() \lambda x. \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_0}$   
 $(\lambda x) x$

$() \lambda x. \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_1}$   
 $(\lambda x) x$

$\rightarrow_{\text{reg}^+}$ -generated subterms of  $\lambda x. \lambda y. x x y$  w.r.t. rewrite relation  $\rightarrow_{\text{reg}^+}$ :

$(\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\})$   
 $(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_{\lambda} (\lambda x_1 \dots x_{n+1}) T_0$   
 $(\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})$

$\rightarrow_{\text{reg}}$ -generated subterms w.r.t. rewrite relation  $\rightarrow_{\text{reg}}$ :

$(\lambda x_1 \dots x_i \dots x_{n+1}) T_0 \rightarrow_{\text{del}} (\lambda x_1 \dots x_{i-1} x_{i+1} \dots x_{n+1}) T_0 \quad (\text{if } \lambda x_i \text{ is vacuous})$

# Generated subterms

$(\lambda x. \lambda y. x x y) \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_1}$   
 $(\lambda x y) y \rightarrow_{\text{del}}$   
 $(\lambda y) y$

$(\lambda x. \lambda y. x x y) \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_0}$   
 $(\lambda x) x$

$(\lambda x. \lambda y. x x y) \rightarrow_{\lambda}$   
 $(\lambda x) \lambda y. x x y \rightarrow_{\lambda}$   
 $(\lambda x y) x x y \rightarrow_{@_0}$   
 $(\lambda x y) x x \rightarrow_S$   
 $(\lambda x) x x \rightarrow_{@_1}$   
 $(\lambda x) x$

$\rightarrow_{\text{reg}^+}$ -generated subterms of  $\lambda x. \lambda y. x x y$  w.r.t. rewrite relation  $\rightarrow_{\text{reg}^+}$ :

$(\lambda x_1 \dots x_n) T_0 T_1 \rightarrow_{@_i} (\lambda x_1 \dots x_n) T_i \quad (i \in \{0, 1\})$   
 $(\lambda x_1 \dots x_n) \lambda x_{n+1}. T_0 \rightarrow_{\lambda} (\lambda x_1 \dots x_{n+1}) T_0$   
 $(\lambda x_1 \dots x_n x_{n+1}) T_0 \rightarrow_S (\lambda x_1 \dots x_n) T_0 \quad (\text{if } \lambda x_{n+1} \text{ is vacuous})$

$\rightarrow_{\text{reg}}$ -generated subterms w.r.t. rewrite relation  $\rightarrow_{\text{reg}}$ :

$(\lambda x_1 \dots x_i \dots x_{n+1}) T_0 \rightarrow_{\text{del}} (\lambda x_1 \dots x_{i-1} x_{i+1} \dots x_{n+1}) T_0 \quad (\text{if } \lambda x_i \text{ is vacuous})$

# Regularity and strong regularity

An infinite first-order term  $t$  is regular if:

$t$  has only finitely many subterms.

## Definition

① A  $\lambda^\infty$ -term  $T$  is **strongly regular** if:

( )  $T$  has only finitely many  $\rightarrow_{\text{reg}^+}$ -generated subterms.

# Regularity and strong regularity

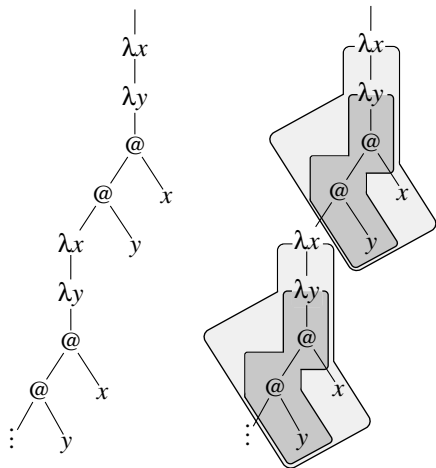
An infinite first-order term  $t$  is regular if:

$t$  has only finitely many subterms.

## Definition

- 1 A  $\lambda^\infty$ -term  $T$  is **strongly regular** if:  
( )  $T$  has only finitely many  $\rightarrow_{\text{reg}^+}$ -generated subterms.
- 2 A  $\lambda^\infty$ -term  $U$  is **regular** if:  
( )  $U$  has only finitely many  $\rightarrow_{\text{reg}}$ -generated subterms.

# strongly regular $\lambda^\infty$ -term

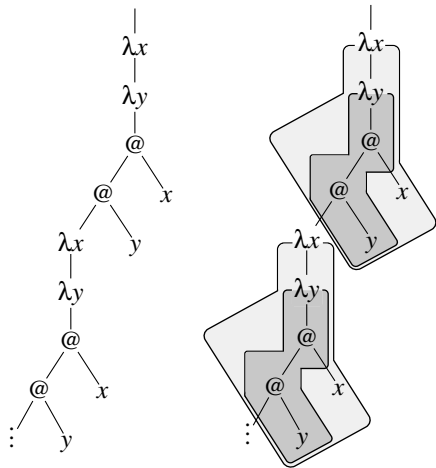


$$T = \lambda xy. T y x$$

$$()T = ()\lambda xy. T y x$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term

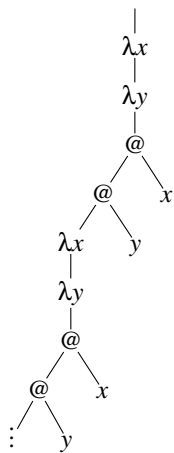


$$T = \lambda xy. T y x$$

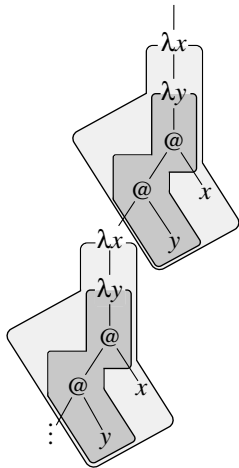
$$\begin{aligned} () T &= () \lambda xy. T y x \\ \rightarrow_\lambda & (\lambda x) \lambda y. T y x \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term



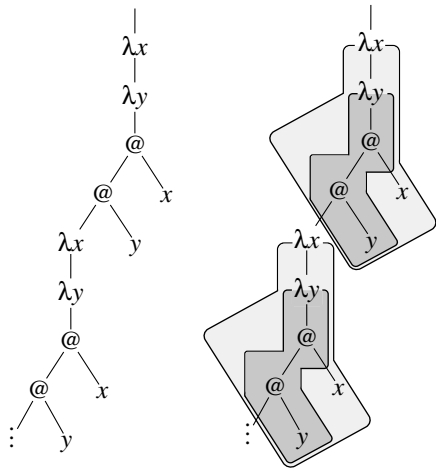
$$T = \lambda xy. T y x$$



$$\begin{aligned}
 () T &= () \lambda xy. T y x \\
 \rightarrow_\lambda & (\lambda x) \lambda y. T y x \\
 \rightarrow_\lambda & (\lambda xy) T y x
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term



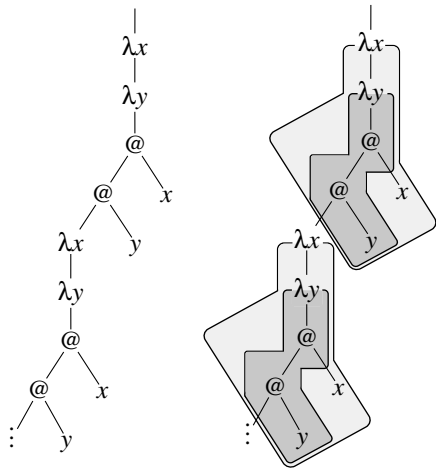
$$T = \lambda x y . @ ( \lambda x y . @ ( \lambda x y . @ ( \dots ) ) )$$

$$\begin{aligned}
 () T &= () \lambda x y . T y x \\
 \rightarrow_\lambda &(\lambda x) \lambda y . T y x \\
 \rightarrow_\lambda &(\lambda x y) T y x \\
 \rightarrow_{@_0} &(\lambda x y) T y
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms



# strongly regular $\lambda^\infty$ -term

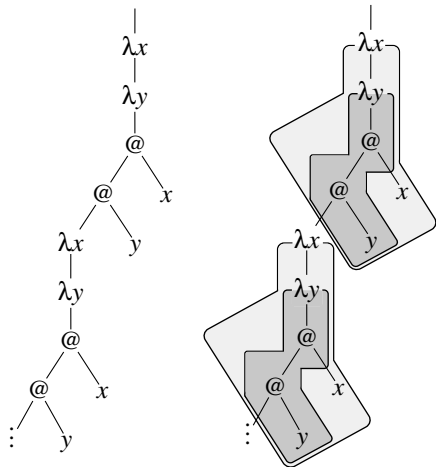


$$T = \lambda x y. T y x$$

$$\begin{aligned}
 () T &= () \lambda x y. T y x \\
 \rightarrow_\lambda &(\lambda x) \lambda y. T y x \\
 \rightarrow_\lambda &(\lambda x y) T y x \\
 \rightarrow_{@_0} &(\lambda x y) T y \\
 \rightarrow_{@_0} &(\lambda x y) T
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term

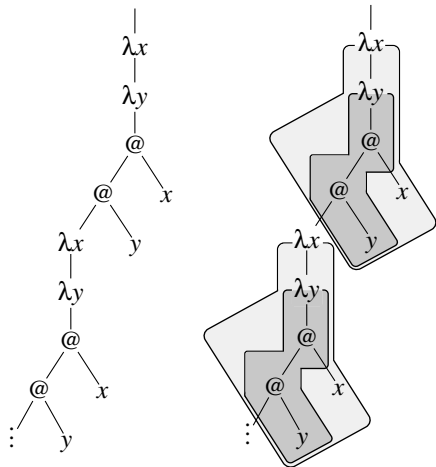


$$T = \lambda x y . T y x$$

$$\begin{aligned}
 () T &= () \lambda x y . T y x \\
 \rightarrow_\lambda &(\lambda x) \lambda y . T y x \\
 \rightarrow_\lambda &(\lambda x y) T y x \\
 \rightarrow_{@_0} &(\lambda x y) T y \\
 \rightarrow_{@_0} &(\lambda x y) T \\
 \rightarrow_S &(\lambda x) T
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term

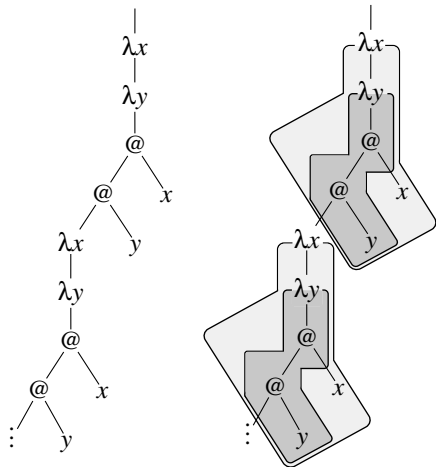


$$T = \lambda x y. T y x$$

$$\begin{aligned}
 () T &= () \lambda x y. T y x \\
 \rightarrow_\lambda &(\lambda x) \lambda y. T y x \\
 \rightarrow_\lambda &(\lambda x y) T y x \\
 \rightarrow_{@_0} &(\lambda x y) T y \\
 \rightarrow_{@_0} &(\lambda x y) T \\
 \rightarrow_S &(\lambda x) T \\
 \rightarrow_S &() T
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term

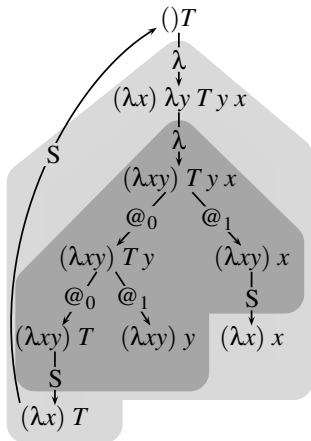
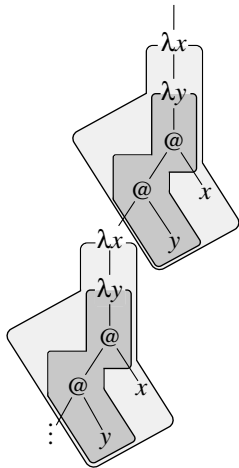
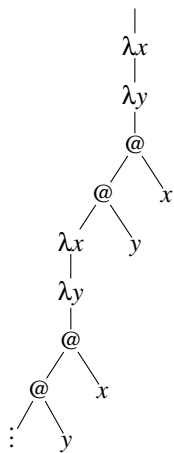


$$T = \lambda x y. T y x$$

$$\begin{aligned}
 () T &= () \lambda x y. T y x \\
 \rightarrow_\lambda &(\lambda x) \lambda y. T y x \\
 \rightarrow_\lambda &(\lambda x y) T y x \\
 \rightarrow_{@_0} &(\lambda x y) T y \\
 \rightarrow_{@_0} &(\lambda x y) T \\
 \rightarrow_S &(\lambda x) T \\
 \rightarrow_S &() T \\
 &\dots
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# strongly regular $\lambda^\infty$ -term

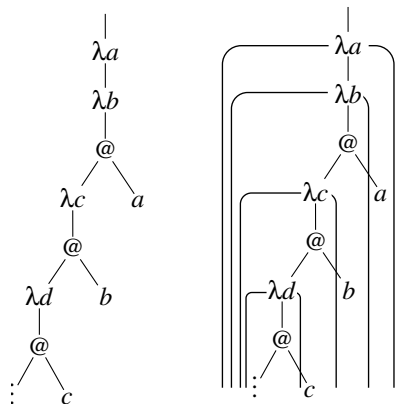


$$T = \lambda xy. T y x$$

finitely many  $\rightarrow_{\text{reg}^+}$ -generated subterms

$\implies T$  is strongly regular

# Not strongly regular $\lambda^\infty$ -term

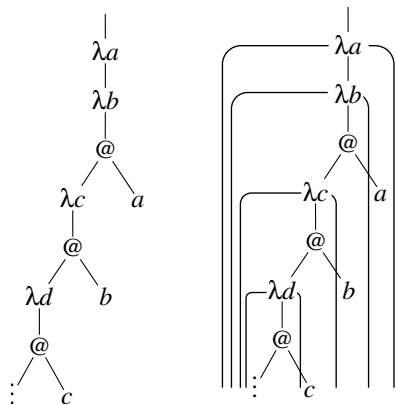


$$U = ()\lambda a.\lambda b.(\dots) a$$

$\lambda^\infty$ -term  $U$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term

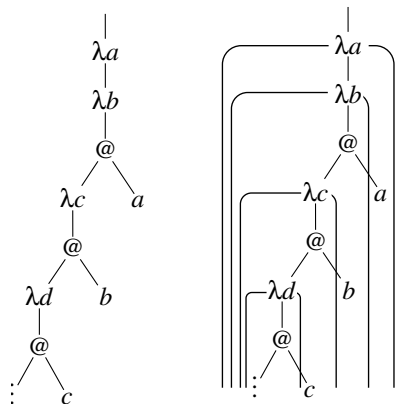


$$\begin{aligned}
 U &= ()\lambda a.\lambda b.(\dots) a \\
 \rightarrow_\lambda & (\lambda a)\lambda b.(\lambda c. \dots) a
 \end{aligned}$$

$\lambda^\infty$ -term  $U$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term



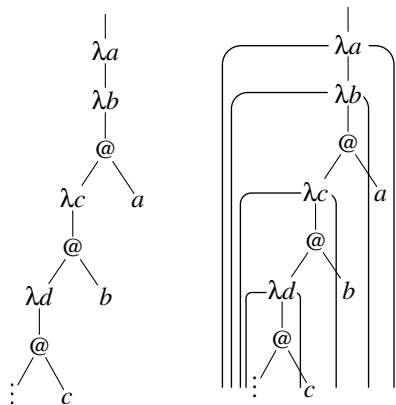
$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 \rightarrow_\lambda & (\lambda a) \lambda b. (\lambda c. \dots) a \\
 \rightarrow_\lambda & (\lambda ab) (\lambda c. (\dots) b) a
 \end{aligned}$$

$\lambda^\infty$ -term  $U$

$\rightarrow_{\text{reg}^+}$ -generated subterms



# Not strongly regular $\lambda^\infty$ -term

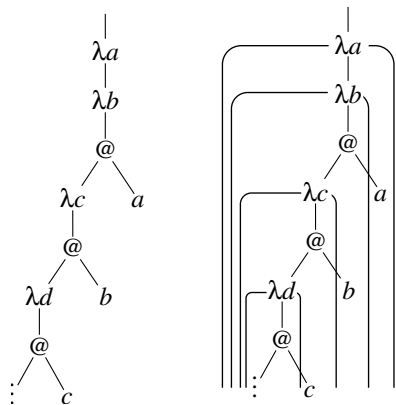


$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 \rightarrow_\lambda & (\lambda a) \lambda b. (\lambda c. \dots) a \\
 \rightarrow_\lambda & (\lambda ab) (\lambda c. (\dots) b) a \\
 \rightarrow_{@_0} & (\lambda ab) \lambda c. (\lambda d. \dots) b
 \end{aligned}$$

$\lambda^\infty$ -term  $U$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term

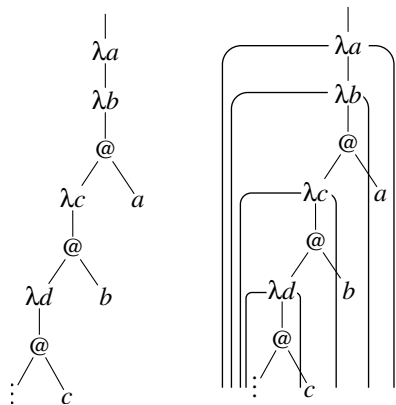


$\lambda^\infty$ -term  $U$

$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab) (\lambda c. (\dots) b) a \\
 &\rightarrow_{@_0} (\lambda a b) \lambda c. (\lambda d. \dots) b \\
 &\rightarrow_\lambda (\lambda a b c) (\lambda d. (\dots) c) b
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term

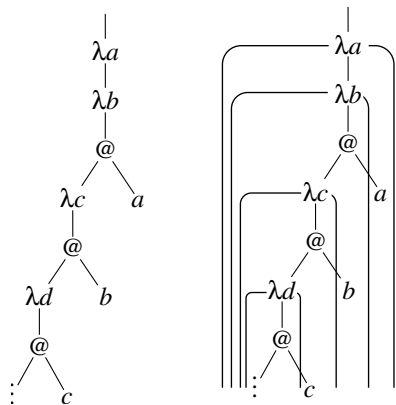


$\lambda^\infty$ -term  $U$

$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab) (\lambda c. (\dots) b) a \\
 &\rightarrow_{@_0} (\lambda ab) \lambda c. (\lambda d. \dots) b \\
 &\rightarrow_\lambda (\lambda abc) (\lambda d. (\dots) c) b \\
 &\rightarrow_{@_0} (\lambda abc) \lambda d. (\lambda e. \dots) c
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term

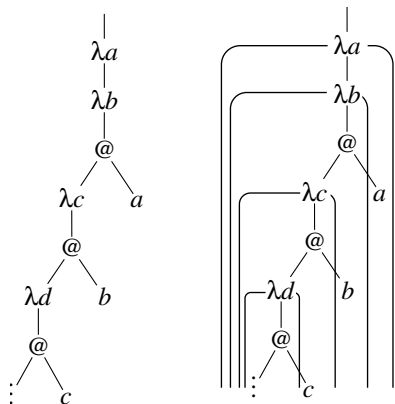


$\lambda^\infty$ -term  $U$

$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab) (\lambda c. (\dots) b) a \\
 &\rightarrow_{@_0} (\lambda ab) \lambda c. (\lambda d. \dots) b \\
 &\rightarrow_\lambda (\lambda abc) (\lambda d. (\dots) c) b \\
 &\rightarrow_{@_0} (\lambda abc) \lambda d. (\lambda e. \dots) c \\
 &\rightarrow_\lambda (\lambda abcd) (\lambda e. (\dots) d) c
 \end{aligned}$$

$\rightarrow_{\text{reg}^+}$ -generated subterms

# Not strongly regular $\lambda^\infty$ -term

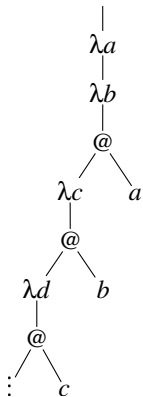


$$\begin{aligned}
 U &= () \lambda a. \lambda b. (\dots) a \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab) (\lambda c. (\dots) b) a \\
 &\rightarrow_{@_0} (\lambda ab) \lambda c. (\lambda d. \dots) b \\
 &\rightarrow_\lambda (\lambda abc) (\lambda d. (\dots) c) b \\
 &\rightarrow_{@_0} (\lambda abc) \lambda d. (\lambda e. \dots) c \\
 &\rightarrow_\lambda (\lambda abcd) (\lambda e. (\dots) d) c \\
 &\rightarrow_{@_0} (\lambda abcd) \lambda e. (\lambda f. \dots) d \\
 &\dots
 \end{aligned}$$

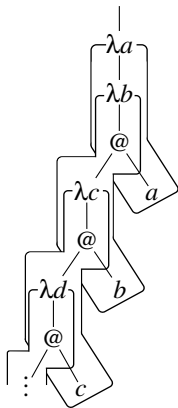
$\lambda^\infty$ -term  $U$

infinitely many  $\rightarrow_{\text{reg}^+}$ -generated subterms  
 $\implies U$  is **not** strongly regular

# Regular $\lambda^\infty$ -term



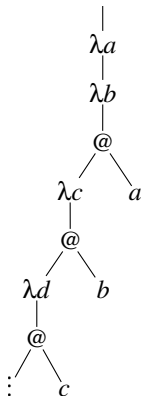
$\lambda^\infty$ -term  $U$



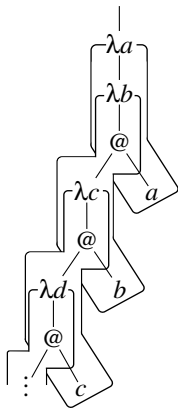
$$U = (\lambda a. \lambda b. (\dots) a)$$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



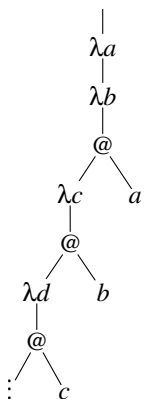
$\lambda^\infty$ -term  $U$



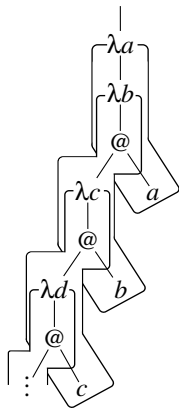
$$\begin{aligned}
 U &= (\lambda a. \lambda b. (\dots) a) \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a
 \end{aligned}$$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



$\lambda^\infty$ -term  $U$

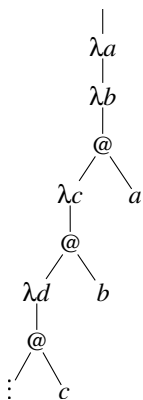


$$\begin{aligned}
 U &= (\lambda a. \lambda b. (\dots) a) \\
 &\rightarrow_\lambda (\lambda a) \lambda b. (\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab) (\lambda c. (\dots) b) a
 \end{aligned}$$

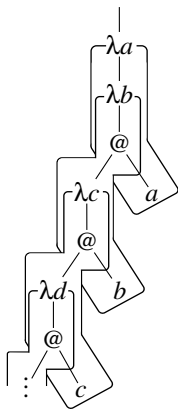
$\rightarrow_{\text{reg}}$ -generated subterms



# Regular $\lambda^\infty$ -term



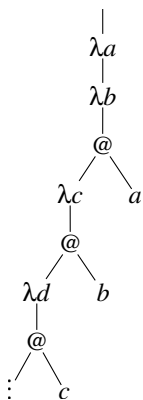
$\lambda^\infty$ -term  $U$



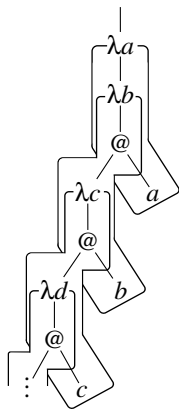
$$\begin{aligned}
 U &= ()\lambda a.\lambda b.(\dots) a \\
 &\rightarrow_\lambda (\lambda a)\lambda b.(\lambda c. \dots) a \\
 &\rightarrow_\lambda (\lambda ab)(\lambda c.(\dots) b) a \\
 &\rightarrow_{\mathcal{O}_0} (\lambda ab)\lambda c.(\lambda d. \dots) b
 \end{aligned}$$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



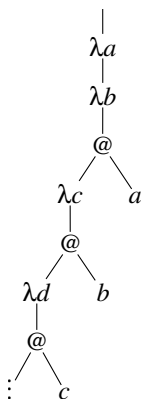
$\lambda^\infty$ -term  $U$



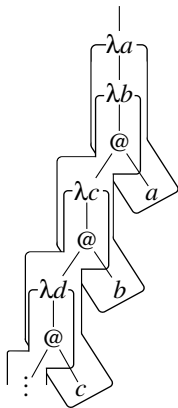
$$\begin{aligned}
 U &= ()\lambda a.\lambda b.(...) a \\
 \rightarrow_\lambda & (\lambda a)\lambda b.(\lambda c. ...) a \\
 \rightarrow_\lambda & (\lambda ab)(\lambda c.(...) b) a \\
 \rightarrow_{@_0} & (\lambda ab)\lambda c.(\lambda d. ...) b \\
 \rightarrow_{\text{del}} & (\lambda b)\lambda c.(\lambda d. ...) b
 \end{aligned}$$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



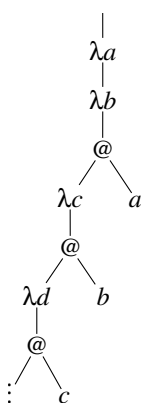
$\lambda^\infty$ -term  $U$



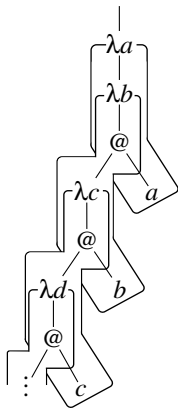
$$\begin{aligned}
 U &= ()\lambda a.\lambda b.(...) a \\
 &\rightarrow_\lambda (\lambda a)\lambda b.(\lambda c. ...) a \\
 &\rightarrow_\lambda (\lambda ab)(\lambda c.(...) b) a \\
 &\rightarrow_{@_0} (\lambda abc)\lambda c.(\lambda d. ...) b \\
 &\rightarrow_{\text{del}} (\lambda bcd)\lambda c.(\lambda d. ...) b \\
 &\rightarrow_\lambda (\lambda bc)(\lambda d.(...) c) b
 \end{aligned}$$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



$\lambda^\infty$ -term  $U$

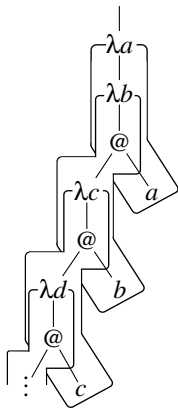
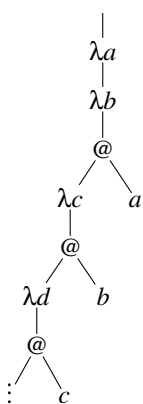


- $U$  =  $()\lambda a.\lambda b.(...) a$   
 $\rightarrow_\lambda$   $(\lambda a)\lambda b.(\lambda c. ...) a$   
 $\rightarrow_\lambda$   $(\lambda ab)(\lambda c.(...) b) a$   
 $\rightarrow_{@_0}$   $(\lambda ab)\lambda c.(\lambda d. ...) b$   
 $\rightarrow_{del}$   $(\lambda b)\lambda c.(\lambda d. ...) b$   
 $\rightarrow_\lambda$   $(\lambda bc)(\lambda d.(...) c) b$   
 $\rightarrow_{@_0}$   $(\lambda abc)\lambda d.(\lambda d. ...) c$

$\rightarrow_{reg}$ -generated subterms



# Regular $\lambda^\infty$ -term

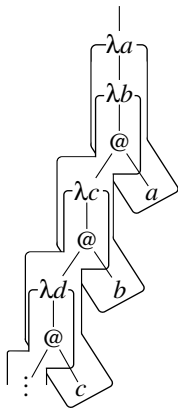
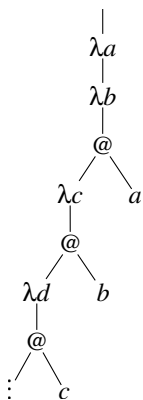


$\lambda^\infty$ -term  $U$

- $U$  =  $()\lambda a.\lambda b.(\dots) a$   
 $\rightarrow_\lambda$   $(\lambda a)\lambda b.(\lambda c. \dots) a$   
 $\rightarrow_\lambda$   $(\lambda ab)(\lambda c.(\dots) b) a$   
 $\rightarrow_{@_0}$   $(\lambda ab)\lambda c.(\lambda d. \dots) b$   
 $\rightarrow_{del}$   $(\lambda b)\lambda c.(\lambda d. \dots) b$   
 $\rightarrow_\lambda$   $(\lambda bc)(\lambda d.(\dots) c) b$   
 $\rightarrow_{@_0}$   $(\lambda bc)\lambda d.(\lambda d. \dots) c$   
 $\rightarrow_{del}$   $(\lambda c)\lambda d.(\lambda e. \dots) d$   
 $\rightarrow_\lambda$   $(\lambda cd)(\lambda e.(\dots) d) c$

$\rightarrow_{reg}$ -generated subterms

# Regular $\lambda^\infty$ -term

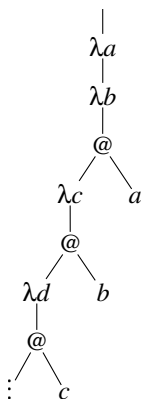


$$\begin{aligned}
 U &= ()\lambda a.\lambda b.(\dots) a \\
 \rightarrow_\lambda & (\lambda a)\lambda b.(\lambda c. \dots) a \\
 \rightarrow_\lambda & (\lambda ab)(\lambda c.(\dots) b) a \\
 \rightarrow_{@_0} & (\lambda ab)\lambda c.(\lambda d. \dots) b \\
 \rightarrow_{\text{del}} & (\lambda b)\lambda c.(\lambda d. \dots) b \\
 \rightarrow_\lambda & (\lambda bc)(\lambda d.(\dots) c) b \\
 \rightarrow_{@_0} & (\lambda bc)\lambda d.(\lambda d. \dots) c \\
 \rightarrow_{\text{del}} & (\lambda c)\lambda d.(\lambda e. \dots) d \\
 \rightarrow_\lambda & (\lambda cd)(\lambda e.(\dots) d) c \\
 \rightarrow_{@_0} & (\lambda cd)\lambda e.(\lambda f. \dots) d
 \end{aligned}$$

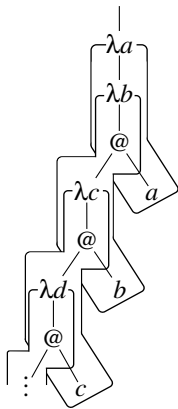
$\lambda^\infty$ -term  $U$

$\rightarrow_{\text{reg}}$ -generated subterms

# Regular $\lambda^\infty$ -term



$\lambda^\infty$ -term  $U$

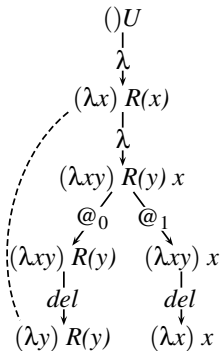
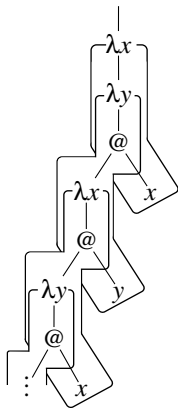
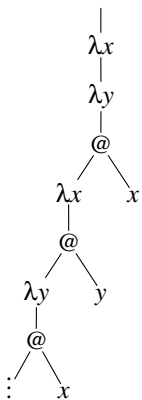


- $U$  =  $()\lambda a.\lambda b.(\dots) a$   
 $\rightarrow_\lambda$   $(\lambda a)\lambda b.(\lambda c. \dots) a$   
 $\rightarrow_\lambda$   $(\lambda ab)(\lambda c.(\dots) b) a$   
 $\rightarrow_{@_0}$   $(\lambda ab)\lambda c.(\lambda d. \dots) b$   
 $\rightarrow_{\text{del}}$   $(\lambda b)\lambda c.(\lambda d. \dots) b$   
 $\rightarrow_\lambda$   $(\lambda bc)(\lambda d.(\dots) c) b$   
 $\rightarrow_{@_0}$   $(\lambda bc)\lambda d.(\lambda d. \dots) c$   
 $\rightarrow_{\text{del}}$   $(\lambda c)\lambda d.(\lambda e. \dots) d$   
 $\rightarrow_\lambda$   $(\lambda cd)(\lambda e.(\dots) d) c$   
 $\rightarrow_{@_0}$   $(\lambda cd)\lambda e.(\lambda f. \dots) d$   
 $\rightarrow_{\text{del}}$   $(\lambda d)\lambda e.(\lambda f. \dots) d$   
 $\dots$

$\rightarrow_{\text{reg}}$ -generated subterms



# Regular $\lambda^\infty$ -term



$\lambda^\infty$ -term  $U$

$\{U = \lambda xy. R(y) x,$   
 $R(z) = \lambda u. R(u) z\}$

finitely many  $\rightarrow_{\text{reg}}$ -generated subterms

$\implies T$  is regular

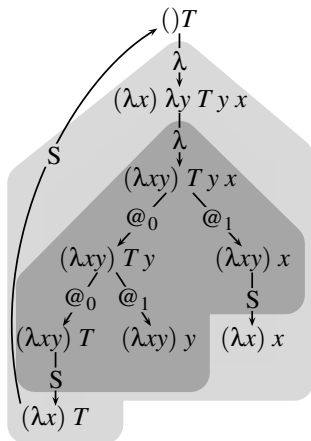
# Strongly regular $\Rightarrow$ regular

## Proposition

- ▶ Every strongly regular  $\lambda^\infty$ -term is also regular.
- ▶ Finite  $\lambda$ -terms are both regular and strongly regular.

# Proving strong regularity

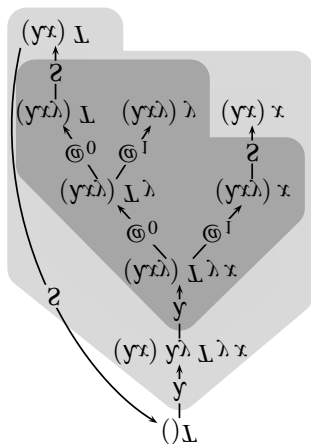
$$T = \lambda xy. T x y$$



→<sub>reg+</sub>-reduction graph

# Proving strong regularity

$$T = \lambda xy. T x y$$



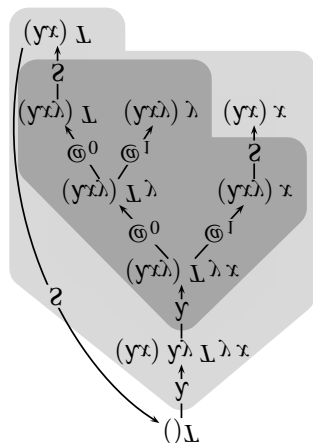
→<sub>reg+</sub>-reduction graph

# Proving strong regularity

$$T = \lambda xy. T x y$$

$$\frac{\frac{\frac{((\ )) T}{(\lambda x) T} S}{(\lambda xy) T} S}{(\lambda xy) T y} \textcircled{\ast} \quad \frac{\frac{\frac{(\lambda xy) y}{(\lambda xy) x} 0}{(\lambda xy) x} S}{(\lambda xy) x} \textcircled{\ast}}{\frac{(\lambda xy) T y x}{(\lambda x) \lambda y. T y x} \lambda}{(\lambda xy) T y x} \lambda}{(\lambda xy) T y x} \text{FIX, /}$$

proof in  $\mathbf{Reg}_0^+$



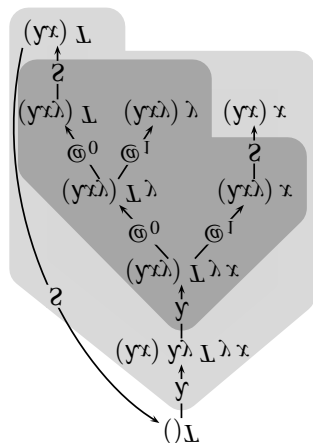
$\rightarrow_{\text{reg}^+}$ -reduction graph

# Proving strong regularity

$$T = \lambda xy. T x y$$

$$\frac{\frac{\frac{((\ )) T)^I}{(\lambda x) T} S}{(\lambda xy) T} S}{(\lambda xy) T y} \textcircled{S} \quad \frac{\frac{\frac{\frac{(\lambda xy) y}{(\lambda xy) y} 0}{(\lambda xy) x} 0}{(\lambda xy) x} S}{(\lambda xy) x} \textcircled{S}}{\frac{(\lambda xy) T y x}{(\lambda x) \lambda y. T y x} \lambda}{(\lambda xy) T y x} \lambda}{\frac{(\lambda xy) T y x}{(\lambda xy) T y x} \text{FIX, /}} \textcircled{S}$$

proof in  $\mathbf{Reg}_0^+$



$\rightarrow_{\text{reg}^+}$ -reduction graph



# $\lambda_\mu$ -expressibility

$\mu$ -unfolding rule

$$\mu f.M(f) \rightarrow M(\mu f.M(f)) \qquad \text{mu}([x]Z(x)) \rightarrow Z(\text{mu}([x]Z(x)))$$

is orthogonal CRS with confluent rewrite relation  $\rightarrow_\mu$ .

$$\mu f.\lambda x.\lambda y.f y x \rightarrow_\mu \lambda x.\lambda y.(\mu f.\lambda x.\lambda y.f y x) y x$$

...



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$$\begin{aligned} \mu f.\lambda x.\lambda y.f y x &\rightarrow_\mu \lambda x.\lambda y.(\mu f.\lambda x.\lambda y.f y x) y x \rightarrow_\mu \dots \\ &\dots \twoheadrightarrow_\mu \lambda xy.(\lambda xy.(\lambda xy.(\dots) y x) y x) y x \end{aligned}$$

$$\mu g.g \rightarrow_\mu \mu g.g \rightarrow_\mu \dots$$

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$$\mu g.g \rightarrow_\mu \mu g.g \rightarrow_\mu \dots$$

## Definition

Let  $M$  a  $\lambda_\mu$ -term,  $T$  a  $\lambda^\infty$ -term.

$$M \text{ expresses } T \iff M \twoheadrightarrow_\mu T$$

# $\lambda_\mu$ -expressibility

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## Definition

Let  $M$  a  $\lambda_\mu$ -term,  $T$  a  $\lambda^\infty$ -term.

$$M \text{ expresses } T \iff M \twoheadrightarrow_\mu T$$

## Proposition

- 1 the  $\lambda^\infty$ -term  $T$  expressed by a  $\lambda_\mu$ -term  $M$  is unique if it exists
- 2 outermost strategy for  $\rightarrow_\mu$  is inf. normalizing [Ketema, Simonsen]

# $\lambda_\mu$ -expressibility

## Theorem ( $\lambda_\mu$ -expressibility)

*An  $\lambda^\infty$ -term is  $\lambda_\mu$ -expressible if and only if it is strongly regular.*

## Theorem ( $\lambda_\mu$ -expressibility)

An  $\lambda^\infty$ -term is  $\lambda_\mu$ -expressible if and only if it is strongly regular.

## Proof.

By a sequence of, mainly, proof-theoretic transformations.

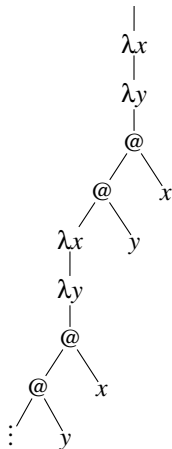
“ $\Leftarrow$ ” (‘ $\lambda_\mu$ -term-extraction’ direction)

For a strongly regular  $\lambda^\infty$ -term  $T$  obtain:

- 1
- 2
- 3
- 4



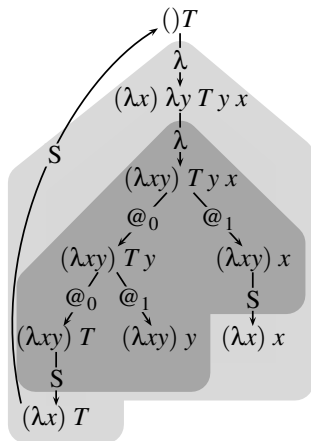
# $\lambda_\mu$ -term extraction: step 1



strongly regular  $\lambda$ -term



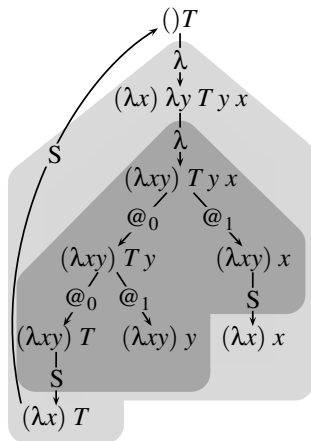
# $\lambda_\mu$ -term extraction: step 1



$\rightarrow_{\text{reg}^+}$ -reduction graph



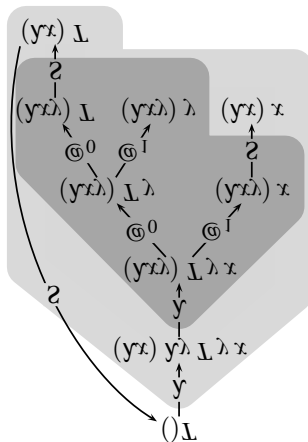
# $\lambda_\mu$ -term extraction: step 1



→  $\text{reg}^+$ -reduction graph

(only vertical sharing: expressible by a  $\mu$ -term [Blom, 2001])

# $\lambda_\mu$ -term extraction: step 2



→  $\text{reg}^+$ -reduction graph

(only vertical sharing: expressible by a  $\mu$ -term [Blom, 2001])

## $\lambda_\mu$ -term extraction: step 2

$$\frac{\frac{\frac{((\ ))T}{(\lambda x)T} S}{(\lambda xy)T} S}{(\lambda xy)Ty} \textcircled{S} \quad \frac{\frac{\frac{(\lambda xy)y}{(\lambda xy)y} 0}{(\lambda xy)y} \textcircled{0}}{(\lambda xy)y} \textcircled{0} \quad \frac{\frac{\frac{(\lambda x)x}{(\lambda x)x} 0}{(\lambda xy)x} S}{(\lambda xy)x} \textcircled{S}}{(\lambda xy)x} \textcircled{S}}{\frac{(\lambda xy)Tyx}{(\lambda x)\lambda y.Tyx} \lambda}{(\lambda x)\lambda y.Tyx} \lambda}{(\lambda xy.Tyx) \lambda} \text{FIX, I}}{()T}$$

closed derivation in  $\mathbf{Reg}_0^+$

## $\lambda_\mu$ -term extraction: step 3

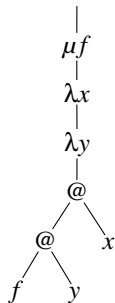
$$\frac{\frac{\frac{((\ ) c_l : T)^l}{(\lambda x) c_l : T} S}{(\lambda xy) c_l : T} S}{(\lambda xy) c_l y : T y} @ \quad \frac{\frac{}{(\lambda xy) y : y} 0}{(\lambda xy) x : x} 0}{(\lambda xy) c_l y x : T y x} @}{\frac{\frac{\frac{}{(\lambda x) \lambda y . c_l y x : T y x} \lambda}{(\lambda xy) \lambda y . c_l y x : \lambda xy . T y x} \lambda}{(\lambda xy) \mu f . \lambda xy . f y x : T} \text{FIX, l}}{(\lambda xy) x : x} S} S$$

$\lambda_\mu$ -term-annotated derivation in **Expr**



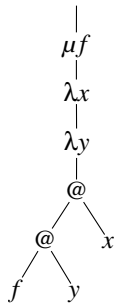
# $\lambda_\mu$ -term extraction: step 3

$$\begin{array}{c}
 \frac{() \text{c}_I : T}{(\lambda x) \text{c}_I : T} S \\
 \frac{(\lambda xy) \text{c}_I : T}{(\lambda xy) \text{c}_I y : T y} S \\
 \frac{(\lambda xy) \text{c}_I y : T y}{(\lambda xy) \text{c}_I y x : T y x} @ \\
 \frac{(\lambda xy) \text{c}_I y x : T y x}{(\lambda x) \lambda y. \text{c}_I y x : T y x} \lambda \\
 \frac{(\lambda x) \lambda y. \text{c}_I y x : T y x}{() \lambda xy. \text{c}_I y x : \lambda xy. T y x} \lambda \\
 \frac{() \lambda xy. \text{c}_I y x : \lambda xy. T y x}{() \mu f. \lambda xy. f y x : T} \text{FIX, } I
 \end{array}$$



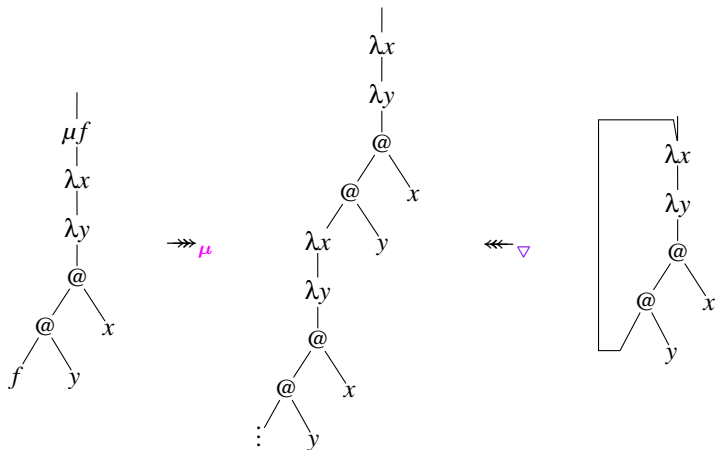
$\lambda_\mu$ -term-annotated derivation in **Expr**

# $\lambda_\mu$ -term extraction: step 4



$\lambda_\mu$ -term

# $\lambda_\mu$ -term extraction: step 4





## Theorem ( $\lambda_\mu$ -expressibility)

An  $\lambda^\infty$ -term is  $\lambda_\mu$ -expressible if and only if it is strongly regular.

## Proof.

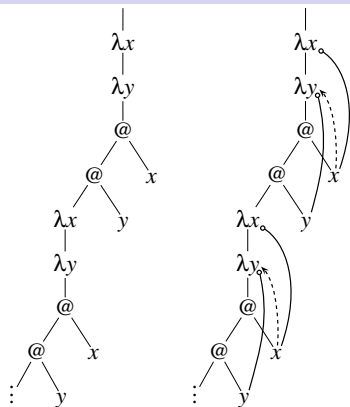
“ $\Leftarrow$ ” (‘ $\lambda_\mu$ -term-extraction’ direction)

For a strongly regular  $\lambda^\infty$ -term  $T$  obtain:

- 1 finite  $\rightarrow_{\text{reg}^+}$ -reduction graph  $G$  of  $T$
- 2 derivation  $\mathcal{D}$  of  $(\ )T$  in  $\mathbf{Reg}_0^+$   
(only vertical sharing  $\Rightarrow$  expressible by  $\mu$ -term [Blom, 2001])
- 3 the expressing  $\lambda_\mu$ -term  $M$  from  $\mathcal{D}$  by annotation
- 4 then  $M \twoheadrightarrow_\mu T$  can be shown



# Binding-capturing chains



## Definition (Melliés, van Oostrom)

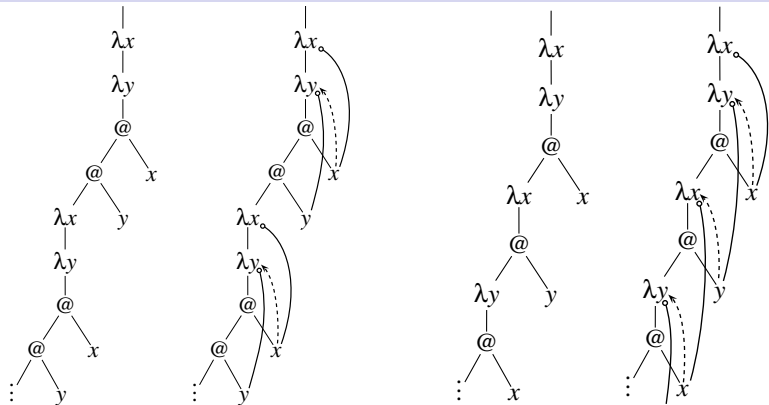
For positions  $p, q, r, s$ :

$p \circlearrowleft q$  :  $\iff$  binder at  $p$  binds variable occurrence at position  $q$

$r \rightarrow s$  :  $\iff$  variable occurrence at  $r$  is captured by binding at  $s$

Binding-capturing chains:  $p_0 \circlearrowleft p_1 \rightarrow p_2 \circlearrowleft p_3 \rightarrow p_4 \circlearrowleft \dots$

# Binding-capturing chains



## Definition (Melliés, van Oostrom)

For positions  $p, q, r, s$ :

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Binding-capturing chains:  $p_0 \circlearrowleft p_1 \rightarrow p_2 \circlearrowleft p_3 \rightarrow p_4 \circlearrowleft \dots$

# Main theorem (extended)

## Theorem (binding-capturing chains)

For all regular  $\lambda^\infty$ -term  $T$ :

$T$  is strongly regular  $\iff T$  has only *finite* binding-capturing chains.

## Theorem ( $\lambda_\mu$ -expressibility, extended)

For all  $\lambda^\infty$ -terms  $T$  the following are equivalent:

- (i)  $T$  is  $\lambda_\mu$ -expressible.
- (ii)  $T$  is strongly regular.
- (iii)  $T$  is regular, and it only contains *finite* binding-capturing chains.

# Generalization and perspectives

- ▶ generalization to  $\lambda_{\text{letrec}}$ 
  - ▶ [arxiv report](#) Expressibility in the Lambda-Calculus with Letrec
    - ▶ same structure of proof
    - ▶ more technicalities: unfolding letrec-expressions
  - ▶ [IWC 2013 talks Friday](#):
    - ▶ Confluent unfolding in  $\lambda_{\text{letrec}}$
    - ▶ Confluent Let-floating
- ▶ practical relevance
  - ▶ recognize **limits** of optimization transformations
  - ▶ test efficiently for unfolding equivalence
  - ▶ implement **maximal sharing** for  $\lambda_{\text{letrec}}$ -terms
- ▶ expressing regular  $\lambda^\infty$ -terms
  - ▶ by higher-order rewrite rules
  - ▶ Chomsky hierarchy of finitely expressible  $\lambda^\infty$ -terms?