

# Unique Normal Forms in Infinitary Weakly Orthogonal Term Rewriting

Jörg Endrullis<sup>\*</sup> Clemens Grabmayer<sup>△</sup> Dimitri Hendriks<sup>\*</sup>  
Jan Willem Klop<sup>\*</sup> Vincent van Oostrom<sup>△</sup>

<sup>△</sup>) Universiteit Utrecht

<sup>\*</sup>) Vrije Universiteit Amsterdam

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# Weakly orthogonal vs. orthogonal

**Weakly orthogonal** (first-/higher-order) rewrite systems:

- ▶ definition: ‘harmless’ **weakening** of orthogonality
- ▶ for **finitary** TRSs: most ‘**nice**’ **properties** of orthogonal systems are preserved
- ▶ **but**: **new concepts**, and **non-trivial adaptations** are needed

In this paper we:

- ▶ investigate **infinitary weakly orthogonal rewrite systems**
- ▶ show that **uniqueness of infinitary normal forms** **fails** in contrast to orthogonal systems
- ▶ explain how this failure **can be repaired**

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# Overview

- ▶ Definitions: weakly orthogonal,  $UN^\infty$
- ▶ Counterexample to  $UN^\infty$  for weakly orthogonal TRSs
- ▶ Counterexample to  $UN^\infty$  for  $\lambda^\infty \beta\eta$
- ▶ Restoring infinitary confluence
- ▶ Diamond and triangle properties for developments

# Weakly orthogonal

Weakly orthogonal (first-/higher-order) systems:

- ▶ left-linear
- ▶ all critical pairs are trivial.

Examples.

- ▶ Successor/Predecessor TRS:

$$P(S(x)) \rightarrow x \quad S(P(x)) \rightarrow x$$

with critical pairs:

$$S(x) \leftarrow \underline{S}(P(\underline{S}(x))) \rightarrow S(x) \quad P(x) \leftarrow \underline{P}(\underline{S}(P(x))) \rightarrow P(x)$$

- ▶ Parallel-Or TRS ('almost orthogonal'):

$$\text{por}(\text{true}, x) \rightarrow \text{true}$$

$$\text{por}(x, \text{true}) \rightarrow \text{true}$$

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# CR<sup>∞</sup> en UN<sup>∞</sup> (definitions). Situation in OTRSs

- ▶ CR<sup>∞</sup>:  $t_1 \leftarrow t \rightarrow t_2 \implies \exists s. t_1 \rightarrow s \leftarrow t_2$
- ▶ UN<sup>∞</sup>:  $t_1 \leftarrow t \rightarrow t_2 \wedge t_1, t_2 \text{ normal forms} \implies t_1 = t_2$
- ▶ SN<sup>∞</sup>: all infinite rewrite sequences are progressive (str. conv.)

In **orthogonal TRSs** (well-known):

- ▶ SN<sup>∞</sup>  $\implies$  CR<sup>∞</sup>, and CR<sup>∞</sup>  $\implies$  UN<sup>∞</sup>.
- ▶ CR<sup>∞</sup> **fails** (Kennaway).
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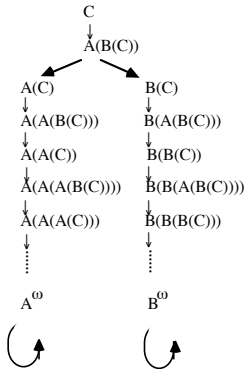
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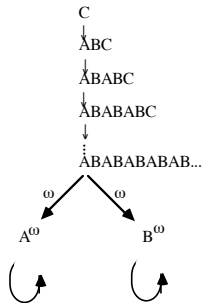
not  $CR^\infty$

$A(x) \rightarrow x$   
 $B(x) \rightarrow x$   
 $C \rightarrow A(B(C))$

(a)



(b)



*Failure of infinitary confluence*

# Overview

1. Counterexample to  $UN^\infty$  for weakly orthogonal iTRSs
2. Counterexample to  $UN^\infty$  in  $\lambda^\infty \beta \eta$
3. Restoring infinitary confluence
4. Diamond and triangle properties for developments
5. Summary

# Counterexample: $UN^\infty$ fails weakly-ortho iTRS

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$$P(S(x)) \rightarrow x \qquad S(P(x)) \rightarrow x$$

with the normal forms  $S^\omega = SSS\dots$  and  $P^\omega = PPP\dots$  we consider:

$$\psi = P^1 S^2 P^3 S^4 P^5 S^6 \dots = P SS PPP SSSS PPPPP SSSSSS \dots$$

We find:

$$\begin{aligned} \psi &= \mathbf{P SS} PPP SSSS \mathbf{PPPPP} SSSSSS \dots \\ &\rightarrow S PPP SSSS PPPPP SSSSSS \dots \\ &\rightarrow S PP SSS PPPPP SSSSSS \dots \\ &\rightarrow SP SS PPPPP SSSSSS \dots \\ &\rightarrow SS PPPPP SSSSSS \dots \\ &\rightarrow SSSSSS \dots = S^\omega \end{aligned}$$

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And similarly:

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# Counterexample: $UN^\infty$ fails weakly-ortho iTRS

In the **Successor/Predecessor** TRS:

$$PS \rightarrow x$$

$$SP \rightarrow x$$

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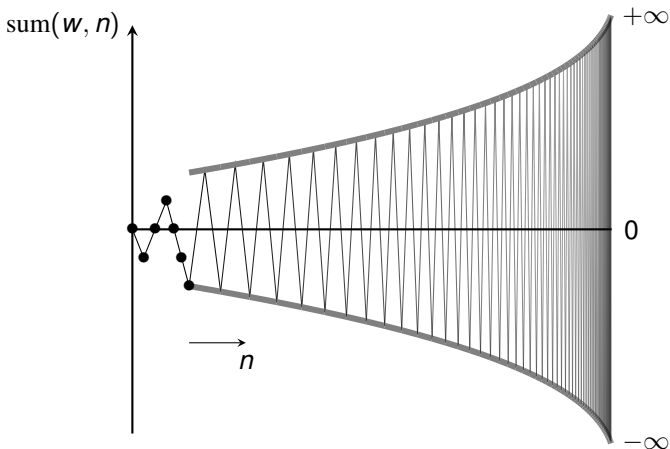
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# Counterexample: $UN^\infty$ fails weakly-ortho iTRS



Graph for the oscillating PS-word  $\psi = P^1 S^2 P^3 \dots$

# Overview

1. Counterexample to  $UN^\infty$  for weakly orthogonal iTRSs
2. Counterexample to  $UN^\infty$  in  $\lambda^\infty \beta \eta$
3. Restoring infinitary confluence
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5. Summary



$\lambda^\infty \beta\eta$ 

**Terms** of  $\lambda^\infty \beta\eta$ : the (potentially) infinite  $\lambda$ -terms in  $Ter^\infty(\lambda)$

The **rewrite rules** of  $\lambda^\infty \beta\eta$  are:

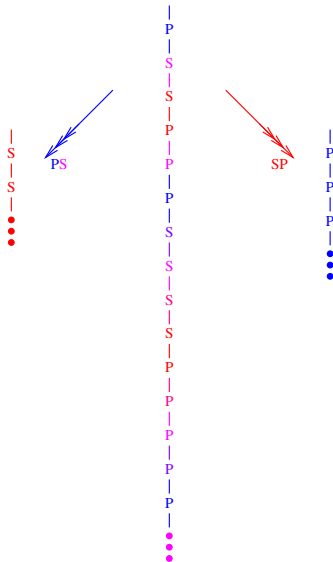
$$\begin{aligned} (\lambda x.M)N &\xrightarrow{\beta} M[x:=N] \\ \lambda x.Mx &\xrightarrow{\eta} M \quad (x \text{ not free in } M) \end{aligned}$$

$\lambda^\infty \beta\eta$  is weakly orthogonal, since the critical pairs are trivial:

$$\begin{aligned} Mx &\xleftarrow{\beta} (\lambda x.Mx)x \xrightarrow{\eta} Mx \quad (x \text{ not free in } M) \\ \lambda x.M[y:=x] &\xleftarrow{\beta} \lambda x.(\lambda y.M)x \xrightarrow{\eta} \lambda y.M \quad (x \text{ not free in } \lambda y.M) \end{aligned}$$

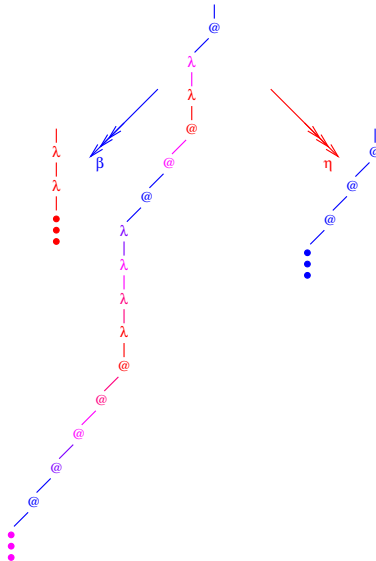


# Translating the S-P-example to $\lambda^\infty \beta\eta$

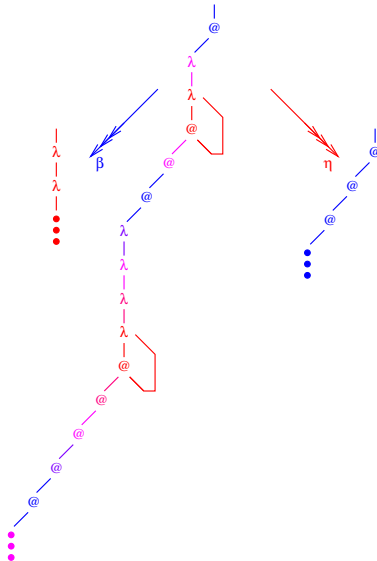




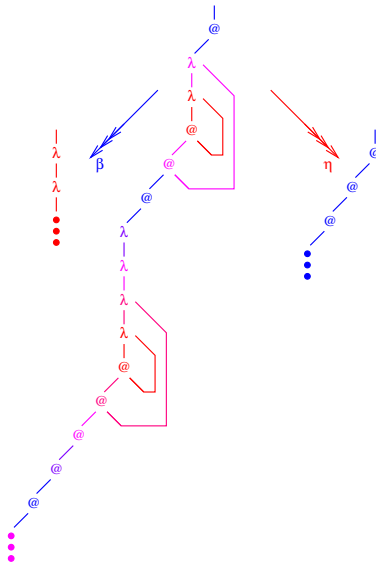
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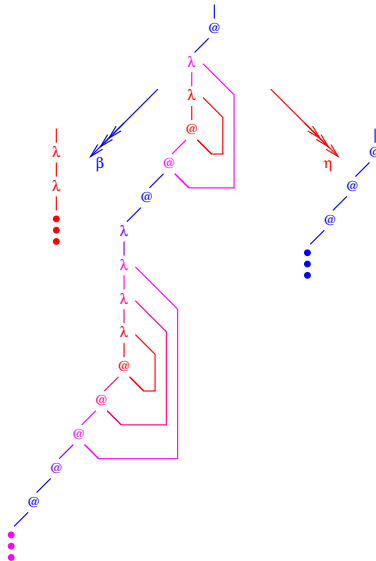
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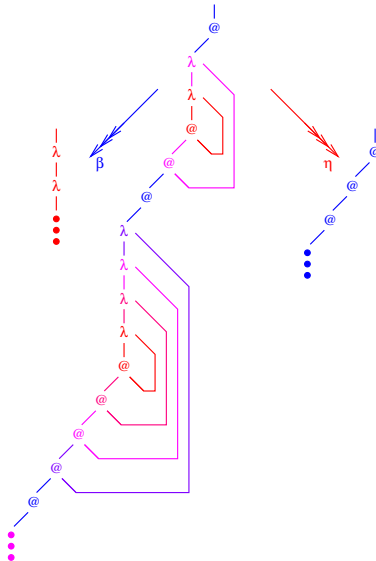


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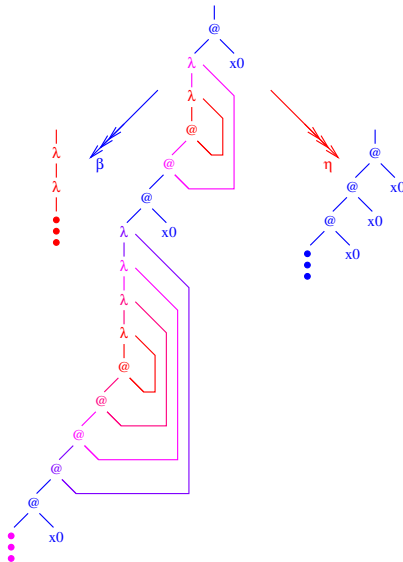




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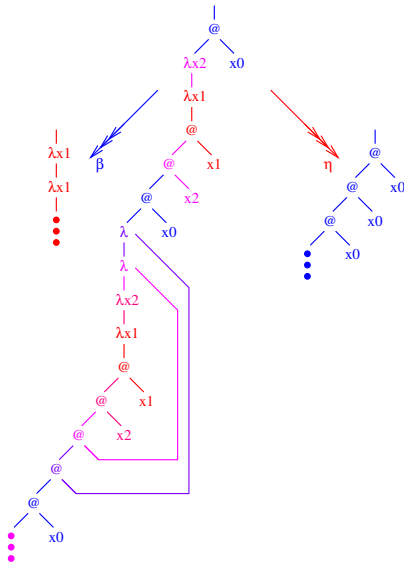


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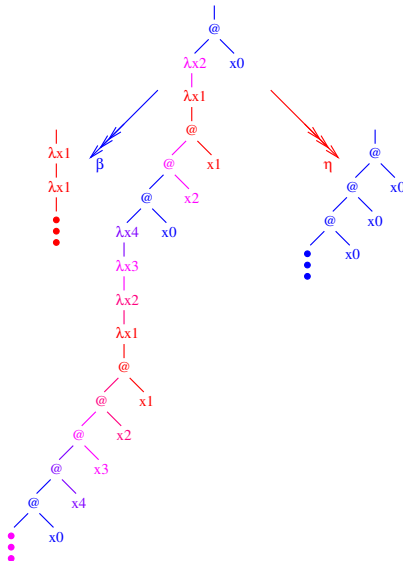


# Translating the S-P-example to $\lambda^\infty \beta \eta$





# Counterexample: $UN^\infty$ fails in $\lambda^\infty \beta \eta$



# Translating the S-P-example to $\lambda^\infty \beta \eta$

$(\_ ) : \{\mathbf{P}, \mathbf{S}\}^\omega \rightarrow Ter^\infty(\lambda)$  defined by:

- ▶  $(\mathbf{w}) = (\mathbf{w})_0$ ;
- ▶ for all  $w \in \{\mathbf{P}, \mathbf{S}\}^\omega$ , and  $i \in \mathbb{Z}$ :

$$(\mathbf{P}w)_i = (\mathbf{w})_{i-1} x_i$$

$$(\mathbf{S}w)_i = \lambda x_{i+1} \cdot (\mathbf{w})_{i+1}$$

## Lemma

$$\begin{array}{ccc}
 \mathbf{P}S\mathbf{w} & \xrightarrow{(\_ )_i} & (\lambda x_i \cdot (\mathbf{w})_i) x_i \\
 \mathbf{P}S \downarrow & & \beta \downarrow \\
 \mathbf{w} & \xrightarrow{(\_ )_i} & (\mathbf{w})_i
 \end{array}$$

$$\begin{array}{ccc}
 \mathbf{S}P\mathbf{w} & \xrightarrow{(\_ )_i} & \lambda x_{i+1} \cdot (\mathbf{w})_{i+1} \\
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 \mathbf{w} & \xrightarrow{(\_ )_i} & (\mathbf{w})_i
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# Contrast with $\lambda^\infty\beta$

We saw for  $\lambda^\infty\beta\eta$ :

- ▶ **UN<sup>∞</sup> fails**
- ▶ Consequently: **CR<sup>∞</sup> fails**

However for  $\lambda^\infty\beta$  it holds:

- ▶ **CR<sup>∞</sup> fails**
- ▶ **But: UN<sup>∞</sup> holds!**

Due to this,  $\lambda^\infty\beta$  is important for the model theory of  $\lambda$ -calculus:  
for several models equality is captured by  $\lambda^\infty\beta$ -convertibility:

- ▶ Böhm Trees
- ▶ Lévy–Longo Trees
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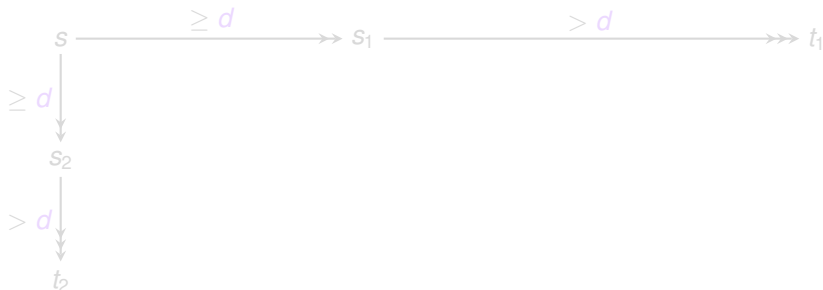
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# Restoring infinitary confluence (preview)

## Theorem

Weakly orthogonal TRSs *without collapsing rules* are *inf. confluent*.

Proof.

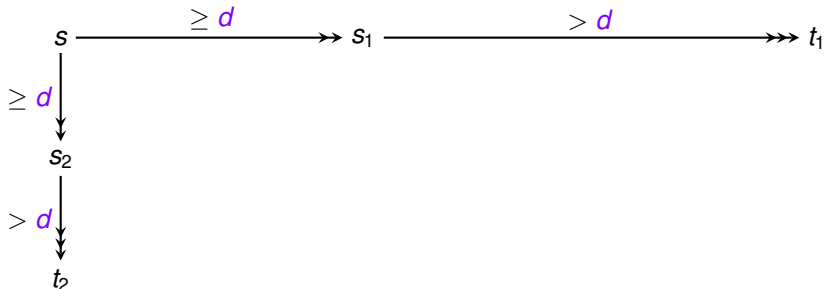


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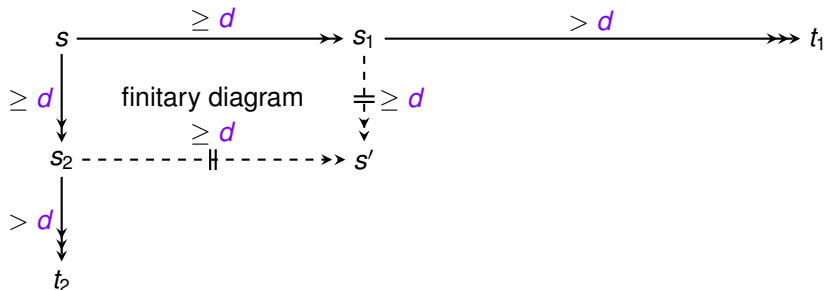


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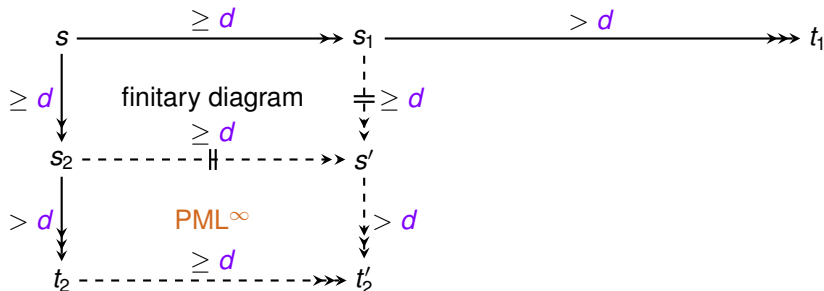


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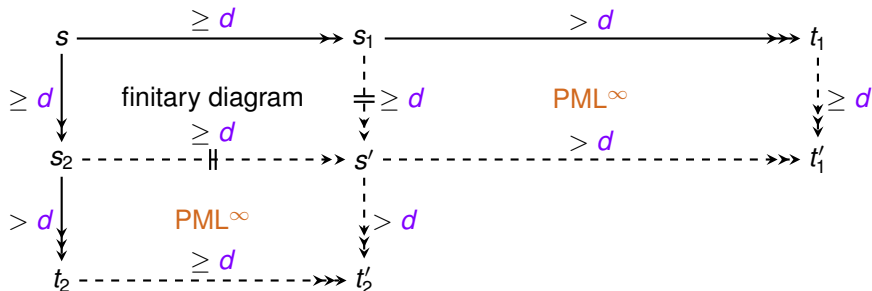


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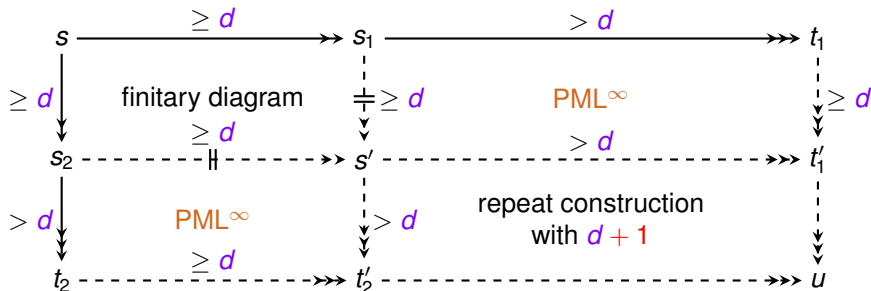


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# Orthogonalization (of parallel steps)

## Proposition

For parallel steps  $\phi : s \twoheadrightarrow t_1$  and  $\psi : s \twoheadrightarrow t_2$  in a w-o TRS there exists orthogonal steps  $\phi'$  and  $\psi'$  such that  $\phi' : s \twoheadrightarrow t_1$  and  $\psi' : s \twoheadrightarrow t_2$  (the pair  $\langle \phi', \psi' \rangle$  is an **orthogonalization** of  $\phi$  and  $\psi$ ).

## Proof.

In case of overlaps, we replace the outer redex with the inner one



(by weak orthogonality overlapping redexes have the same effect).

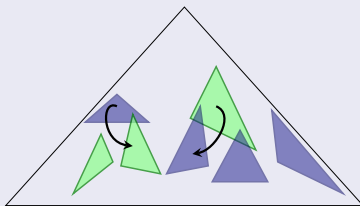
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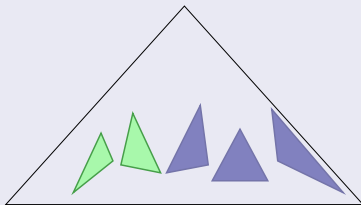
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# Infinitary Parallel Moves Lemma $\text{PML}^\infty$

Using additionally a:

- **refined compression lemma** (preservation of min. depth of steps)

we show:

## Lemma

Let  $R$  be a *non-collapsing* weakly orthogonal TRS. Then:

$$\begin{array}{ccc}
 s & \xrightarrow{\geq d_\kappa} & t_1 \\
 \Downarrow \geq d_\xi & & \Downarrow \\
 t_2 & \dashrightarrow \geq \min(d_\kappa, d_\xi + 1) & u
 \end{array}$$

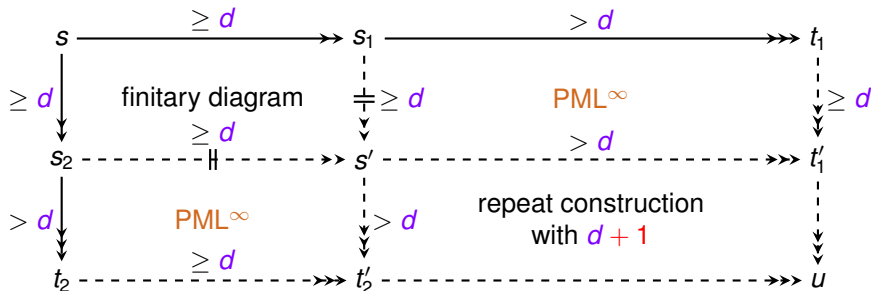
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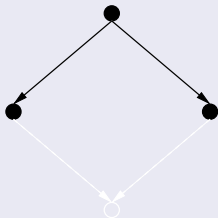
# Diamond and triangle properties for developments

## Definition

A binary relation  $\rightarrow$  on  $A$  has:

- ▶ the **diamond property** if:  $\leftarrow \cdot \rightarrow \subseteq \rightarrow \cdot \leftarrow$  ;
- ▶ the **triangle property** if:

$$\forall a \in A. \exists a^* \in A. a \rightarrow a^* \wedge (\forall b \in A. a \rightarrow b \Rightarrow \dots).$$





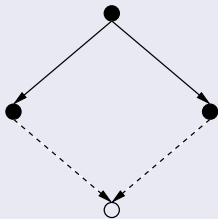
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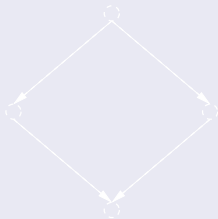
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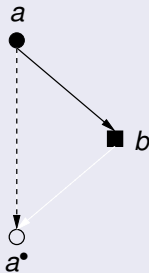
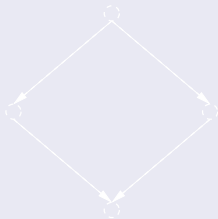
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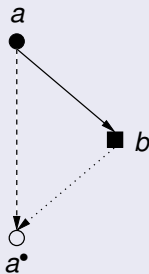
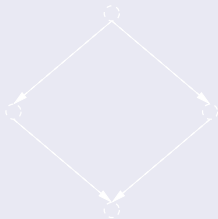
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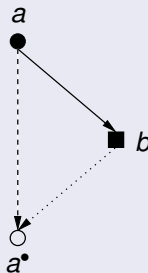
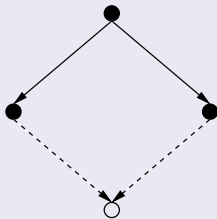
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For every weakly orthogonal TRS *without collapsing rules*, for infinitary developments there hold:

- 1 the *diamond property*;
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Our proof proceeds by:

- ▶ refining an earlier cluster analysis (I-clusters and Y-clusters) from the finite case;
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# Summary

- ▶ Counterexample to  $UN^\infty/CR^\infty$  for weakly orthogonal TRSs
- ▶ By translation: counterexample to  $UN^\infty/CR^\infty$  for  $\lambda^\infty \beta \eta$
- ▶ Restoring  $CR^\infty$  (hence  $UN^\infty$ ) for non-collapsing w-o TRSs
- ▶ Diamond and triangle properties for developments in non-collapsing w-o TRSs

# Summary

		<i>finitary</i>				<i>infinitary</i>			
		PML	CR	UN	NF	PML $^\infty$	CR $^\infty$	UN $^\infty$	NF $^\infty$
<i>first-order</i>	OTRS	yes	yes	yes	yes	yes	no	yes	yes
	WOTRS	yes	yes	yes	yes	<b>yes</b>	no	<b>no</b>	<b>no</b>
	nc-WOTRS	yes	yes	yes	yes	<b>yes</b>	<b>yes</b>	<b>yes</b>	<b>yes</b>
	1c-WOTRS	yes	yes	yes	yes	<b>yes</b>	no	<b>?</b>	<b>?</b>
<i>higher-order</i>	$\lambda\beta$	yes	yes	yes	yes	no	no	yes	yes
	fe-OCRS	yes	yes	yes	yes	no	no	yes	yes
	$\lambda\beta\eta$	yes	yes	yes	yes	no	no	<b>no</b>	<b>no</b>
	WOCRS	yes	yes	yes	yes	no	no	<b>no</b>	<b>no</b>